LOBBYIST CONTRIBUTIONS AND EXPENDITURES STATEMENT
The reporting period is from January 1st through December 31st of the previous year.
This statement shall be filed on or before January 31st of each year. NOTE THAT THIS IS A PUBLIC DOCUMENT.

STATEMENT YEAR: 2020

<table>
<thead>
<tr>
<th>DATE OF FILING</th>
<th>NAME OF LOBBYIST</th>
<th>NAME OF PERSON OR ORGANIZATION YOU LOBBY FOR (Do not abbreviate)</th>
<th>BUSINESS MAILING ADDRESS</th>
<th>BUSINESS TELEPHONE NO.</th>
</tr>
</thead>
<tbody>
<tr>
<td>January 8, 2021</td>
<td>Jesse Cooke</td>
<td>Ulupono Initiative</td>
<td>999 Bishop Street, Suite 1202, Honolulu, HI, 96813</td>
<td>808-544-8960</td>
</tr>
</tbody>
</table>

PART I: TOTAL EXPENDITURES

EXPENDITURES OF $25 OR MORE PER PERSON PER DAY
List all expenditures incurred by lobbyist for the purpose of lobbying of $25 or more per person per day during the reporting period. Attach additional sheet(s) if necessary.

This section is not applicable.

Expenditures incurred in the total sum of $25 or more per day were made for the following persons:

<table>
<thead>
<tr>
<th>Date</th>
<th>Name of Recipient</th>
<th>Mailing Address (Street, City, State, Zip)</th>
<th>Description of Expenditure</th>
<th>Amount or Value</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
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</tr>
</tbody>
</table>

EXPENDITURES OF $150 OR MORE PER PERSON PER DAY
List all expenditures incurred by lobbyist for the purpose of lobbying of $150 or more per person per day during the reporting period. Attach additional sheet(s) if necessary.

This section is not applicable.

Expenditures incurred in the total sum of $150 or more per day were made for the following persons:

<table>
<thead>
<tr>
<th>Date</th>
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<tbody>
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</tr>
</tbody>
</table>


PART II: CONTRIBUTIONS RECEIVED

CONTRIBUTIONS RECEIVED
List all contributions received by lobbyist for the purpose of lobbying in the total sum of $25 or more per person during the statement period pursuant to Sec. 3-6.5(c)(3), Kaua'i County Code 1987, as amended. Attach additional sheet(s) if necessary.

X This section is not applicable.

Contributions in the total sum of $25 or more per person were received from the following persons:

<table>
<thead>
<tr>
<th>Date</th>
<th>Name of Contributor</th>
<th>Mailing Address (Street, City, State, Zip)</th>
<th>Amount or Value</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

PART III: SUBJECT AREAS OF LOBBYING

Legislative and/or administrative action supported or opposed during the statement reporting period. Shall include title of bills, resolutions, and/or description of actions, permit, procurement, or contract management that was supported or opposed.

n/a

PART IV: AUTHORIZED PERSON

Jesse K. Cooke
Name of Authorized Person (First, Middle, Last)

VP, Investments & Analytics
Title

Signature of Authorized Person
January 8, 2021
Date

CERTIFICATION: By checking this box or signing your name on this Statement, you certify and affirm that you are the person whose name appears as the "Authorized Person" above and the information contained in the form is true, correct, and complete to the best of your knowledge and belief. You further certify that you understand that there are statutory penalties for failing to report the information required by Ordinance No. 999.

06/24/16