County of Kauai  
Department of Finance - Purchasing Division  

Professional Services Procurement Disclosure  

Pursuant to the requirements of HRS 304-103D, the following information is hereby publicly disclosed.

| Award Date: 4/11/22  
| Contract #: 9957  

1. Professional Services Publication Number:  
   - Publication Number: 2022-PROF-3

2. Description of Services Required:  
   Post Issuance Compliance Services

3. □ Check if Small Purchase procedures used.

4. The ranking and names of a minimum of the top three (3) persons or organizations ranked by the Selection Committee for negotiation of a contract (note- the minimum of 3 persons may be limited to less than 3 by the review committee or by the number of responses to the solicitation):  
   - Fieldman, Rolapp & Associates  
   - BLX Group, LLC  
   - Urban Futures, Inc.

5. The legal name of the person or organization receiving the award:  
   - BLX Group, LLC

6. The Dollar amount of the contract: $175,000.00

7. The names of the Selection Committee members:  
   - Reiko Matsuyama  
   - Ken Shimonishi  
   - Amy Surratt

8. Describe the relationship of any of the principals to any of the persons making the recommendation of award and award:  
   - DIRECTOR OF FINANCE (AUTHORITY TO AWARD): NONE

Date Posted: 4/11/22
April 13, 2022

BLX Group, LLC
777 South Figueroa St. Suite 3200
Los Angeles, CA 90017

Attn: Jeffrey R. Higgins, Managing Director

RE: **CONTRACT 9957 – POST ISSUANCE COMPLIANCE SERVICES**

Your firm has been awarded the negotiated contract to provide POST ISSUANCE COMPLIANCE SERVICES for the Department of Finance, Treasury Division, County of Kauai in accordance with 2022-PROF-3 and to the terms and conditions set forth in the contract document. The award is subject to your return of the executed contract together with:

- Insurance certificates as required by solicitation or negotiated terms.

The contract amount shall not exceed **ONE HUNDRED SEVENTY FIVE THOUSAND AND 00/100 DOLLARS ($175,000.00)**.

This **five (5) year** multi-term contract is subject to the availability and appropriation of funds, effective upon issuance of the official Notice to Proceed.

Contract documents will be transmitted under separate cover for your review and execution. Contract No. **9957** is assigned and should be referenced in all future communications relating to this contract.

After the contract is fully executed, a Notice to Proceed will be issued to designate the official contract starting date. Until said Notice is issued the County may find cause for cancellation of the award and any expense incurred by you will be at your own risk.

Further as a reminder, the following is a requirement for final payment and should be submitted you’re your final payment invoice:

- Tax clearance compliance required by HRS 103D-328;
- Contractor’s Certificate of Compliance for Final Payment (self-certification form), required by HAR 3-122-112 (c), Responsibility of Offerors. Purchasing Division Schedule G-1 is available upon request.

We appreciate the opportunity to work with you on this project and look forward to its successful and timely completion.
Please feel free to call us on any questions or if we may be of service.

Very truly yours,

Ernest Barreira
Assistant Chief Procurement Officer

cc: FIN-TREAS – A.Surratt
/krm
"NOA C9957" History

Document created by Kristi Mahi (kmahi@kauai.gov)
2022-04-14 - 2:36:47 AM GMT - IP address: 72.235.186.194

Document emailed to Ernest Barreira (ebarreira@kauai.gov) for signature
2022-04-14 - 2:37:13 AM GMT

Email viewed by Ernest Barreira (ebarreira@kauai.gov)
2022-04-14 - 2:56:27 AM GMT - IP address: 76.173.164.18

Document e-signed by Ernest Barreira (ebarreira@kauai.gov)
Signature Date: 2022-04-14 - 2:56:39 AM GMT - Time Source: server - IP address: 76.173.164.18

Agreement completed.
2022-04-14 - 2:56:39 AM GMT