COUNTY OF KAUA'I

ANNUAL ACTION PLAN 2018

Fourth Program Year

FOR PROGRAM YEAR JULY 1, 2018 THROUGH JUNE 30, 2019

May 2018

Prepared By:
Kaua'i County Housing Agency
4444 Rice Street, Suite 330
Līhu'e, Kaua'i, Hawai'i 96766

EQUAL HOUSING OPPORTUNITY

OMB Control No: 2506-0117 (exp. 06/30/2018)

Executive Summary

AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

The County of Kauai (County) is required to submit a five-year Consolidated Plan (CP) to the U.S. Department of Housing and Urban Development (HUD) to receive federal Community Development Block Grant (CDBG) program funds. The purpose of the Consolidated Plan is to ensure that jurisdictions receiving federal assistance develop a plan on how to invest federal funds to address the needs of low- and moderate-income families.

The Annual Action Plan 2018 is a one-year snapshot of the Consolidated Plan and an application for the use of CDBG funds to the U. S. Department of Housing and Urban Development. The Action Plan identifies the linkage between federal resources and specific objectives identified in the County's Consolidated Plan. The Action Plan also identifies programs and resources that leverage other funds with CDBG funds during the program year to address the County's strategic goals.

The overall goal of the CDBG Program is to develop viable communities, principally for low- and moderate-income persons, by providing decent housing, a suitable living environment, and expanding economic opportunities. On Kauai, the CDBG Program is administered by the Kauai County Housing Agency.

The County is the designated state HTF subgrantee for HUD's new National Housing Trust Fund (HTF), to provide affordable rental housing units serving households with incomes at or below 30% of the area median income.

2. Summarize the objectives and outcomes identified in the Plan

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

The Consolidated Plan addresses three principle areas of need: Housing and Special Needs Housing, Homeless, and Community Development needs.

An activity will have one of three low-mod objectives:

Suitable Living Environment - activities are designed to benefit communities, families or individuals by addressing their living environment.

Decent Housing - activities are designed to cover housing programs to meet individual family or community needs.

Creating Economic Opportunities - applies to the types of activities related to economic development, commercial revitalization, or job creation.

Once an objective is selected for an activity, an outcome will be selected from three categories:

Availability/Accessibility - this outcome category applies to activities that make services, infrastructure, public facilities, housing or shelter available or accessible to low- and moderate-income people, including those with disabilities.

Affordability - this outcome category applies to activities that provide affordability to low- and moderate-income people through creating, improving, or maintaining affordable housing, basic infrastructure, or services for transportation.

Sustainability - this outcome category applies to projects that are aimed at improving communities and neighborhoods by making them livable or viable for low- and moderate-income persons.

The County of Kauai focuses its HTF activities on the production, preservation of affordable rental housing and use funds to increase and preserve the supply of decent, safe, and sanitary affordable rental housing, primarily for extremely low-income (30% AMI) households.

3. Evaluation of past performance

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

The County of Kauai Housing Agency has effectively administered the CDBG grant for more than three decades and has been successful in implementing activities that meet the four priority concerns by the U.S. Department of Housing and Urban Development: housing and special needs housing, homelessness, community development, and fair housing.

4. Summary of Citizen Participation Process and consultation process

Summary from citizen participation section of plan.

The County's Citizen Participation Plan (CPP) is included in the five-year Consolidated Plan 2015-2020 and can be accessed at www.kauai.gov, under Government, Agencies, Housing Agency, Housing & Community Development and CDBG. The County's CPP describes the policies and procedures for citizen participation in the administration of the CDBG program. The plan seeks to involve citizen participation, especially among persons in lower income groups.

The Consolidated Plan and Assessment of Fair Housing (AFH) is required by the U.S. Department of Housing and Urban Development for jurisdictions to continue to receive federal housing and community development funding. The County of Kauai receives Community Development Block Grant funding annually. The Consolidated Plan examines the housing and community development needs of the County, sets priorities for CDBG funds, establishes an Annual Action Plan for meeting current and future needs, and identifies the County's performance in meeting its annual goals through the Consolidated Annual Performance Evaluation Report (CAPER). The County supports the AFH that will replace the Analysis of Impediments to Fair Housing (AI) to assist the County in identifying fair housing issues and related contributing factors to achieve comprehensive community development goals and affirmatively further fair housing. The Consolidated Plan and AFH are also required to have a strategy for resident participation in the planning process. Once the AFH is accepted, the County will make appropriate amendments to its Consolidated Plan to incorporate strategies and proposed actions consistent with the fair housing goals, issues, and other elements identified in the AFH.

In developing the Annual Action Plan, a series of public hearings were held to solicit input on community development, affordable housing and homeless needs. Public hearing notices were published in The Garden Island Newspaper. Public workshops were conducted at various locations on the island not only to receive input but also to provide information on CDBG grant eligibility and the application process. Another venue to disseminate information and solicit input is the County's website. Input provided through surveys, consultations and/or collaboration was included in the development of the plan and the County's goals.

5. Summary of public comments

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

On March 28, 2018, the County conducted a hearing to receive comments from the public on the 2018 proposed projects. No comments were received.

6. Summary of comments or views not accepted and the reasons for not accepting them

Not applicable.

OMB Control No: 2506-0117 (exp. 06/30/2018)

7. Summary

PR-05 Lead & Responsible Agencies – 91.200(b)

1. Agency/entity responsible for preparing/administering the Consolidated Plan

Describe the agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
CDBG Administrator	KAUAI COUNTY	Housing Agency
	KAUAI COUNTY	Housing Agency

Table 1 – Responsible Agencies

Narrative (optional)

The County of Kaua‘i Housing Agency is a grantee for the CDBG Program and receives CDBG allocations directly from HUD. The County of Kaua‘i is a state recipient for the HOME and Housing Trust Fund programs and receives allocations as a pass-through from the State of Hawai‘i, Department of Business, Economic Development and Tourism, Hawai'i Housing Finance and Development Corporation (HHFDC). Goals, projects and allocations can be found in the State's Consolidated Plan, Annual Action Plan, Fourth Year, 2018 at http://dbedt/hawaii/gov/hhfdc/resources/reports/.

ESG and HOPWA programs are administered by the State of Hawai‘i through the Department of Human Services, DBEDT, Homeless Programs Office.

Note: While we strive to honor traditional Hawaiian values and the Hawaiian language, limitations of current technology and the current reporting program did not allow us to use the diacritic mark, kahako. Exclusion of the diacritic mark is not a result of carelessness or disrespect. When possible, the okina, has been utilized.

Consolidated Plan Public Contact Information

Kerrilyn Barros (email: kbarros@kauai.gov); 4444 Rice Street, Suite 330, Lihue, HI 96766; phone: (808) 241-4435.

AP-10 Consultation – 91.100, 91.200(b), 91.215(l)

1. Introduction

KCHA has administrative responsibility for the CDBG program and the implementation of the five-year Consolidated Plan, Annual Action Plan, and Consolidated Annual Performance and Evaluation Report. KCHA works with a variety of public agencies and non-profit organizations to ensure that benefits from the CDBG Program are delivered to the community.

Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(l))

To enhance coordination between public and assisted housing providers, the Housing Director participates in monthly meetings with other directors from U.S. Department of Agriculture (USDA), Hawai'i Housing, Finance, & Development Corporation (HHFDC), Hawai'i Public Housing Authority (HPHA), U.S. Department of Housing and Urban Development (HUD), U.S. Department of Hawaiian Home Lands (DHHL), Office of Hawaiian Affairs (OHA), Native American Housing Assistance and Self Determination Act (NAHASDA), City & County of Honolulu, Maui and Hawai'i County Housing divisions. The Housing Director also attends weekly meetings with department heads from Kaua'i County to coordinate with planning, economic development, aging, public safety, recreation, public works, and other County officials. Other housing staff members participate in meetings and serve on various committees involving: fair housing; Section 8 eviction hearings; Workforce Investment Board; and CDBG & HOME programs. CDBG staff partners and collaborates with various state health agencies and community organizations, such as: State of Hawai'i Adult Mental Health, Vocational Rehabilitation, and Developmental Disabilities; Easter Seals of Hawai'i, EPIC Ohana, Young Life Capernum, Malama Pono Health Services, Hoola Lahui, and more. Consultations occur on a year-round basis with diverse providers that service the low- and moderate-income populations on Kaua'i.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.

The State of Hawai'i Department of Human Services (DHS) and Homeless Programs Office (HPO) contractually requires all homeless provider agencies funded by state and/or federal resources to participate in their respective County's Continuum of Care (CoC) for collaboration and input into community planning efforts. These agencies provide outreach, emergency/transitional shelters, permanent supportive housing, rapid re-housing, homeless prevention, Housing First Program, and other support services. These programs serve the following populations: chronic substance abuse; persons with HIV/AIDS; victims of domestic violence; and unaccompanied youth.

Bridging the Gap (BTG) is a geographically-based group of relevant stakeholder representatives that carry out planning responsibilities of the CoC programs and the goal to end homelessness. BTG is the union of three (3) rural county chapters:

1) Kaua'i Community Alliance (Kaua'i Chapter of BTG)
2) Community Alliance Partners (Hawai'i Island Chapter of BTG)
3) Maui Homeless Alliance (Maui Chapter of BTG)

Members of the local chapters collectively convene at least twice annually for general membership meetings. Because of the non-contiguous nature of each island, the meetings are conducted via electronic means (webinar, video teleconference, teleconference, or other generally available technologies).

The SOH HPO convenes the statewide Continuum of Care meeting every other month. Members of the group include the chairperson of each local chapter and a respective county government representative. The statewide planning body collaborates on priorities, strategic planning and resources. The group also takes an expanded role of advising the state on funding priorities and legislative initiatives.

In accordance with the Homeless Emergency Assistance and Rapid Transition to Housing (HEARTH) Act, BTG works to use multiple resources to prevent homelessness or quickly rehouse homeless individuals and families while minimizing trauma and dislocation. BTG mandates collaboration among providers, promotes access to homeless assistance programs, encourages effective utilization of mainstream programs especially for special populations, and strives to optimize self-sufficiency among individuals and families experiencing homelessness or are at-risk of being homeless.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS

BTG, in collaboration with DHS/HPO and the ESG program administrator, initially opted to divide the ESG award in near equal amounts between the shelter operations and homelessness prevention/rapid re-housing (HPRP) components. However, BTG elected to allocate the most recent ESG grant by apportioning forty percent (40%) to emergency shelter operations and sixty percent (60%) to homelessness prevention and rapid re-housing activities. This allocation formula reflects BTG's greater emphasis on rapid re-housing and helping people to quickly regain stability in permanent housing after experiencing a housing crisis and homelessness. Homelessness prevention and rapid re-housing activities include financial assistance for rent, security deposits, utilities, and housing relocation and stabilization services. BTG elected to allocate HPRP funds to one agency each in Maui, Kaua'i and Hawai'i counties.

In recent years, neighbor island representatives have become much more engaged in planning, advocacy, and data committee activities in conjunction with Partners In Care (PIC), the O'ahu Continuum of Care. Additionally, BTG has adopted a formal HMIS Security and Privacy Plan and a Policies and Procedures Manual which include security policies that detail how data is secured in HMIS; data sharing policies that detail with whom data is shared and for what purposes; and user access to HMIS. A formal data quality plan has also been adopted to define what constitutes a record for each program type; and determines timeliness, completeness and accuracy standards.

Moreover, data-driven performance standards are being updated and refined. These enhancements will allow the CoCs to utilize outcome measures to determine funding levels for applicants. HPO is in the process of developing a means to transform state funding determinations to more concisely reflect performance standards and performance based funding as HUD. While these systemic changes will take time to fully implement, the mechanisms to achieve and maintain progress have been executed.

To further promote collaboration among the CoC bodies, a portion of each Bridging the Gap meeting is set aside for the PIC chair and City and County of Honolulu representative to share information. This allows for discourse on legislative, advocacy, policy and data issues being addressed statewide. Additionally, many more neighbor island participants have requested placement on the PIC mailing list so that they can keep their agencies and their counties up-to-date, especially on state government issues during the legislative session. These efforts are building toward a greater sense of community across the state for homeless service providers, and thus, a more cohesive approach to our statewide infrastructure.

BTG also receives help from HUD with technical assistance with HMIS, performance measures, evaluation criteria, CoC operations, and guidance in creating policies and procedures.

2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction's consultations with housing, social service agencies and other entities

Table 2 – Agencies, groups, organizations who participated

1	**Agency/Group/Organization**	KAUAI HOUSING DEVELOPMENT CORP
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2015-2020 COK Housing Agency Consolidated Plan Needs Survey to provide input as a non-profit housing developer. COK Housing Agency will foster on-going consultation and when necessary, seek input with the agency/group/organization when opportunities arise for projects in applicable fields and to ensure benefits from the CDBG Program are delivered to the community.

2	**Agency/Group/Organization**	HOOLA LAHUI HAWAII
	Agency/Group/Organization Type	Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-Victims of Domestic Violence Services-homeless Services-Health Services-Education Services-Employment Services - Victims
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2015-2020 COK Housing Agency Consolidated Plan Needs Survey to provide input as a healthcare service provider. COK Housing Agency will foster on-going consultation and when necessary, seek input with the agency/group/organization when opportunities arise for projects in applicable fields and to ensure benefits from the CDBG Program are delivered to the community.
3	**Agency/Group/Organization**	MALAMA PONO KAUAI HEALTH SERVICES
	Agency/Group/Organization Type	Services-Persons with HIV/AIDS

OMB Control No: 2506-0117 (exp. 06/30/2018)

	What section of the Plan was addressed by Consultation?	Homeless Needs - Families with children Homelessness Needs - Veterans Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2015-2020 COK Housing Agency Consolidated Plan Needs Survey to provide input as a healthcare service provider. COK Housing Agency will foster on-going consultation and when necessary, seek input with the agency/group/organization when opportunities arise for projects in applicable fields and to ensure benefits from the CDBG Program are delivered to the community.
4	**Agency/Group/Organization**	DEPARTMENT OF HAWAIIAN HOME LANDS
	Agency/Group/Organization Type	Housing Other government - State
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2015-2020 COK Housing Agency Consolidated Plan Needs Survey to provide input as a government land management department. COK Housing Agency will foster on-going consultation and when necessary, seek input with the agency/group/organization when opportunities arise for projects in applicable fields and to ensure benefits from the CDBG Program are delivered to the community.

5	**Agency/Group/Organization**	ST. CATHERINE SCHOOL
	Agency/Group/Organization Type	Services-Children Services-Education
	What section of the Plan was addressed by Consultation?	Homeless Needs - Families with children Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2015-2020 COK Housing Agency Consolidated Plan Needs Survey to provide input as an educational institution. COK Housing Agency will foster on-going consultation and when necessary, seek input with the agency/group/organization when opportunities arise for projects in applicable fields and to ensure benefits from the CDBG Program are delivered to the community.
6	**Agency/Group/Organization**	CONTRACTORS ASSOCIATION OF KAUAI
	Agency/Group/Organization Type	Housing Business Leaders
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Market Analysis
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2015-2020 COK Housing Agency Consolidated Plan Needs Survey to provide input as a community business association. COK Housing Agency will foster on-going consultation and when necessary, seek input with the agency/group/organization when opportunities arise for projects in applicable fields and to ensure benefits from the CDBG Program are delivered to the community.

7	**Agency/Group/Organization**	KUPU A'E, KAUAI TEAM CHALLENGE INC.
	Agency/Group/Organization Type	Services-Children Business and Civic Leaders Services-Neighborhood Residents, Substance Abuse
	What section of the Plan was addressed by Consultation?	Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2015-2020 COK Housing Agency Consolidated Plan Needs Survey to provide input as a non-profit leadership development organization. COK Housing Agency will foster on-going consultation and when necessary, seek input with the agency/group/organization when opportunities arise for projects in applicable fields and to ensure benefits from the CDBG Program are delivered to the community.
8	**Agency/Group/Organization**	WORKFORCE DEVELOPMENT DIVISION
	Agency/Group/Organization Type	Services-Employment Other government - State
	What section of the Plan was addressed by Consultation?	Market Analysis Economic Development
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2015-2020 COK Housing Agency Consolidated Plan Needs Survey to provide input as a government employment entity. COK Housing Agency will foster on-going consultation and when necessary, seek input with the agency/group/organization when opportunities arise for projects in applicable fields and to ensure benefits from the CDBG Program are delivered to the community.
9	**Agency/Group/Organization**	COUNTY OF KAUAI OFFICE OF ECONOMIC DEVELOPMENT
	Agency/Group/Organization Type	Other government - County Business and Civic Leaders

OMB Control No: 2506-0117 (exp. 06/30/2018)

	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Homelessness Needs - Veterans Non-Homeless Special Needs Market Analysis Economic Development
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2015-2020 COK Housing Agency Consolidated Plan Needs Survey to provide input as a County government agency. COK Housing Agency will foster on-going consultation and when necessary, seek input with the agency/group/organization when opportunities arise for projects in applicable fields and to ensure benefits from the CDBG Program are delivered to the community.
10	**Agency/Group/Organization**	KIDS SCHOOL
	Agency/Group/Organization Type	Services-Children Services-Education
	What section of the Plan was addressed by Consultation?	Homeless Needs - Families with children Non-Homeless Special Needs Market Analysis
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2015-2020 COK Housing Agency Consolidated Plan Needs Survey to provide input as a community preschool/daycare center. COK Housing Agency will foster on-going consultation and when necessary, seek input with the agency/group/organization when opportunities arise for projects in applicable fields and to ensure benefits from the CDBG Program are delivered to the community.

11	**Agency/Group/Organization**	DHS-DIVISION OF VOCATIONAL REHABILITATION
	Agency/Group/Organization Type	Services-Persons with Disabilities Other government - State
	What section of the Plan was addressed by Consultation?	Non-Homeless Special Needs Market Analysis
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2015-2020 COK Housing Agency Consolidated Plan Needs Survey to provide input as a government entity. COK Housing Agency will foster on-going consultation and when necessary, seek input with the agency/group/organization when opportunities arise for projects in applicable fields and to ensure benefits from the CDBG Program are delivered to the community.
12	**Agency/Group/Organization**	BOYS AND GIRLS CLUB OF HAWAII
	Agency/Group/Organization Type	Services-Children Services-Education Neighborhood Organization
	What section of the Plan was addressed by Consultation?	Public Housing Needs Homeless Needs - Families with children Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2015-2020 COK Housing Agency Consolidated Plan Needs Survey to provide input as a community service organization for youth. COK Housing Agency will foster on-going consultation and when necessary, seek input with the agency/group/organization when opportunities arise for projects in applicable fields and to ensure benefits from the CDBG Program are delivered to the community.

OMB Control No: 2506-0117 (exp. 06/30/2018)

13	**Agency/Group/Organization**	DOH-KAUAI COMMUNITY MENTAL HEALTH CENTER
	Agency/Group/Organization Type	Services-Health Publicly Funded Institution/System of Care Other government - State
	What section of the Plan was addressed by Consultation?	Homeless Needs - Chronically homeless Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2015-2020 COK Housing Agency Consolidated Plan Needs Survey to provide input as a government healthcare entity. COK Housing Agency will foster on-going consultation and when necessary, seek input with the agency/group/organization when opportunities arise for projects in applicable fields and to ensure benefits from the CDBG Program are delivered to the community.
14	**Agency/Group/Organization**	MUTUAL HOUSING ASSOCIATION OF HAWAII
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2015-2020 COK Housing Agency Consolidated Plan Needs Survey to provide input as a non-profit housing developer. COK Housing Agency will foster on-going consultation and when necessary, seek input with the agency/group/organization when opportunities arise for projects in applicable fields and to ensure benefits from the CDBG Program are delivered to the community.
15	**Agency/Group/Organization**	DEPARTMENT OF VETERANS AFFAIRS
	Agency/Group/Organization Type	Services - Housing Services-Persons with Disabilities Services-homeless Services-Health Services-Employment Other government - Federal
	What section of the Plan was addressed by Consultation?	Homelessness Needs - Veterans
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2015-2020 COK Housing Agency Consolidated Plan Needs Survey to provide input as a government entity providing services for veterans. COK Housing Agency will foster on-going consultation and when necessary, seek input with the agency/group/organization when opportunities arise for projects in applicable fields and to ensure benefits from the CDBG Program are delivered to the community.
16	**Agency/Group/Organization**	WAIMEA HIGH SCHOOL
	Agency/Group/Organization Type	Services-Children Services-Education Other government - State

OMB Control No: 2506-0117 (exp. 06/30/2018)

	What section of the Plan was addressed by Consultation?	Homeless Needs - Families with children Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2015-2020 COK Housing Agency Consolidated Plan Needs Survey to provide input as a public educational institution. COK Housing Agency will foster on-going consultation and when necessary, seek input with the agency/group/organization when opportunities arise for projects in applicable fields and to ensure benefits from the CDBG Program are delivered to the community.
17	**Agency/Group/Organization**	KAUAI BOARD OF REALTORS
	Agency/Group/Organization Type	Housing Planning organization Business and Civic Leaders
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Market Analysis
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2015-2020 COK Housing Agency Consolidated Plan Needs Survey to provide input as a business organization. COK Housing Agency will foster on-going consultation and when necessary, seek input with the agency/group/organization when opportunities arise for projects in applicable fields and to ensure benefits from the CDBG Program are delivered to the community.
18	**Agency/Group/Organization**	AMERICAN CANCER SOCIETY
	Agency/Group/Organization Type	Services-Health Health Agency
	What section of the Plan was addressed by Consultation?	Non-Homeless Special Needs

OMB Control No: 2506-0117 (exp. 06/30/2018)

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2015-2020 COK Housing Agency Consolidated Plan Needs Survey to provide input as a community service provider. COK Housing Agency will foster on-going consultation and when necessary, seek input with the agency/group/organization when opportunities arise for projects in applicable fields and to ensure benefits from the CDBG Program are delivered to the community.
19	**Agency/Group/Organization**	KAUAI COMMUNITY COLLEGE
	Agency/Group/Organization Type	Services-Education Other government - State
	What section of the Plan was addressed by Consultation?	Market Analysis Economic Development
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2015-2020 COK Housing Agency Consolidated Plan Needs Survey to provide input as an educational institution. COK Housing Agency will foster on-going consultation and when necessary, seek input with the agency/group/organization when opportunities arise for projects in applicable fields and to ensure benefits from the CDBG Program are delivered to the community.
20	**Agency/Group/Organization**	CHILD AND FAMILY SERVICE
	Agency/Group/Organization Type	Housing Services - Housing Services-Children
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Families with children Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2015-2020 COK Housing Agency Consolidated Plan Needs Survey to provide input as a non-profit community service provider. COK Housing Agency will foster on-going consultation and when necessary, seek input with the agency/group/organization when opportunities arise for projects in applicable fields and to ensure benefits from the CDBG Program are delivered to the community.
21	**Agency/Group/Organization**	Kauai Habitat for Humanity
	Agency/Group/Organization Type	Housing Services - Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Homeless Needs - Families with children Homelessness Needs - Veterans Non-Homeless Special Needs Market Analysis
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2015-2020 COK Housing Agency Consolidated Plan Needs Survey to provide input as a non-profit housing developer. COK Housing Agency will foster on-going consultation and when necessary, seek input with the agency/group/organization when opportunities arise for projects in applicable fields and to ensure benefits from the CDBG Program are delivered to the community.
22	**Agency/Group/Organization**	KILAUEA ELEMENTARY SCHOOL
	Agency/Group/Organization Type	Services-Children Services-Education Other government - State

OMB Control No: 2506-0117 (exp. 06/30/2018)

	What section of the Plan was addressed by Consultation?	Homeless Needs - Families with children Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2015-2020 COK Housing Agency Consolidated Plan Needs Survey to provide input as an educational institution. COK Housing Agency will foster on-going consultation and when necessary, seek input with the agency/group/organization when opportunities arise for projects in applicable fields and to ensure benefits from the CDBG Program are delivered to the community.
23	**Agency/Group/Organization**	HANAPEPE UNITED CHURCH OF CHRIST
	Agency/Group/Organization Type	Services - Housing Services-homeless Neighborhood Organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2015-2020 COK Housing Agency Consolidated Plan Needs Survey to provide input as a community faith-based organization. COK Housing Agency will foster on-going consultation and when necessary, seek input with the agency/group/organization when opportunities arise for projects in applicable fields and to ensure benefits from the CDBG Program are delivered to the community.

OMB Control No: 2506-0117 (exp. 06/30/2018)

24	**Agency/Group/Organization**	COUNTY OF KAUAI DEPARTMENT OF LIQUOR
	Agency/Group/Organization Type	Other government - County
	What section of the Plan was addressed by Consultation?	Non-Homeless Special Needs Economic Development
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2015-2020 COK Housing Agency Consolidated Plan Needs Survey to provide input as a government agency. COK Housing Agency will foster on-going consultation and when necessary, seek input with the agency/group/organization when opportunities arise for projects in applicable fields and to ensure benefits from the CDBG Program are delivered to the community.

Identify any Agency Types not consulted and provide rationale for not consulting

Other local/regional/state/federal planning efforts considered when preparing the Plan

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	Refer to State of Hawaii Consolidated Plan	The goals of Kauai County is to partner, coordinate and collaborate with the State's plan to end homelessness through joint funding with the HOME partnerships program and to fund local non-profit organizations who provide essential services to the homeless population.

Table 3 – Other local / regional / federal planning efforts

Narrative (optional)

OMB Control No: 2506-0117 (exp. 06/30/2018)

The following plans, reports, and assessments were consulted, reviewed, considered, and when applicable, incorporated in the Consolidated Plan:

1) The Kaua‘i General Plan 2000 by County of Kaua‘i Planning Department

2) Kaua‘i Economic Development Plan, 2010-2015, Kaua‘i's Comprehensive Economic Development Strategy (CEDS) Report by County of Kaua‘i Office of Economic Development

3) 4-Year Area Plan on Aging 2011-2015 by County of Kaua'i Agency on Elderly Affairs

4) Kaua‘i Community Drug Response Plan 2008-2013 by County of Kaua‘i Office of the Mayor

5) Kaua‘i's Community Health Needs Assessment 2013 and Kaua‘i Community Health Improvement Plan 2014, Our Keiki, Our Kupuna, Our ‘Ohana by Hawai‘i Department of Health and various partnering agencies including County of Kaua‘i

6) Kaua‘i Youth Report 2012 by Hawai‘i State Department of Education, Kaua‘i District Complex Area and Kaua‘i Planning & Action Alliance

7) Measuring What Matters for Kaua‘i-Community Indicators Report 2012 by Kaua‘i Planning & Action Alliance

8) Hawaiian Community Assets, Final Report Narrative July 2014

9) Holo Holo 2020, Growing Kaua‘i Responsibly by Mayor Bernard P. Carvalho, Jr.

10) Kaua‘i Parks & Recreation Master Plan 2013 by County of Kaua‘i Department of Parks & Recreation

11) Kaua‘i Rental Housing Study 2014

12) Hawai‘i Housing Planning Study 2011

13) Childhood Lead Poisoning Prevention Guidelines, State of Hawai‘i, Department of Health, Maternal and Child Health Branch

14) Center on the Family, University of Hawaiʻi, College of Tropical Agriculture and Human Resources

15) Neighbor Island Point-in-Time Count Analysis

16) State of Hawaii Point-in-Time Count 2018

17) Lead Based Paint Pamphlet

18) Kauaʻi Multimodal Land Transportation Plan; Planning for a Sustainable Transportation System in Kaua'i County through 2035, County of Kauaʻi.

AP-12 Participation – 91.105, 91.200(c)

1. Summary of citizen participation process/Efforts made to broaden citizen participation
Summarize citizen participation process and how it impacted goal-setting

In developing the Annual Action Plan, a series of public hearings were held to solicit input on community development, affordable housing and homeless needs. Public hearing notices were published in The Garden Island Newspaper. Public workshops were conducted at various locations on the island not only to receive input, but also to provide information on CDBG grant eligibility and the application process. Another venue to disseminate information and solicit input is the County's website. Input provided through surveys, consultations and/or collaboration was included in the development of the Consolidated Plan and the County's goals.

Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
1	Internet Outreach	Non-targeted/broad community	Information on the CDBG grant opportunity, application with instructions, and deadlines were posted on the Housing Agency's website.	Not applicable.	Not applicable.	www.kauai.gov

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
2	Newspaper Ad	Non-targeted/broad community	Legal notices were published in the Garden Island Newspaper to announce locations and times for meetings, hearings, workshops; request participation to review and comment on the draft Annual Action Plan; and location to obtain hard copies of the draft plan.	No comments were received.	Not applicable.	
3	Public Meeting	Non-targeted/broad community	A total of three meetings were conducted in the planning and development of the Annual Action Plan.	No comments were received.	Not applicable.	
4	Public Meeting	Non-targeted/broad community	A hearing was conducted in the development and review of the draft Annual Action Plan.	All comments were favorable to the proposed projects.	Not applicable.	

Table 4 – Citizen Participation Outreach

Expected Resources

AP-15 Expected Resources – 91.220(c)(1,2)

Introduction

The amount available in "Prior Year Resources" reflect the current combined balances from the Housing Agency's CDBG revolving loan fund activities, Homebuyer Loan Program and Home Purchase Program. The County will make a request to HUD to reprogram or carry over prior year resources to each designated PY 2018 activity. Additionally, all other home sales, ground lease fees and loan repayments generated from the agency's housing programs will be receipted to the designated activities' revolving fund.

Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan $	Narrative Description
			Annual Allocation: $	Program Income: $	Prior Year Resources: $	Total: $		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	708,964	0	725,000	1,433,964	0	"Prior Year Resources" reflect the combined amount available from revolving loan fund balances.

Table 5 - Expected Resources – Priority Table

OMB Control No: 2506-0117 (exp. 06/30/2018)

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

Many community non-profit organizations leverage CDBG funds with other private and non-federal public sources. The leveraging of resources and anticipated amounts are identified with the description of CDBG activities that will take place during the program year. Other sources of funds that are potentially available to support the objectives of an Annual Action Plan may include: Low-Income Housing Tax Credits, Section 8 Housing Choice Vouchers, Economic Development Initiative, U.S. Department of Agriculture Rural Development programs, private foundations, state CIP funds, and County funds.

If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

To meet the housing needs of Kaua‘i County, there are various public housing for elderly and families developed with funding or support from federal, state or county resources. The following is a list of county-owned or leased land or property that could meet housing needs identified in the plan:

1) Kalepa Village I, II, III, IV - existing; 180 affordable rental units.
2) Pa‘anau Village I & II - existing; 110 affordable rental units.
3) Kaniko‘o (Rice Camp Senior Housing) - existing; 90-unit affordable rental complex for seniors 62 years or older.
4) Lima Ola - 75 acres for workforce housing development; could potentially develop 550 affordable homes of various units types to include single-family detached units, duplexes and small-lot units intended for aging in place; land use commission entitlements have been approved.
5) Ko‘ae - 11 acres for workforce housing development with 150 units of residential density; site blessing was held in April 2018.

Discussion

"Prior Year Resources" reflect the combined amount available from revolving loan fund balances and grant funds remaining balances from completed, closed projects. Funds from RLF balances will be utilized in the Home Buyer Loan Program and Home Purchase Program. Funds available from cancelled projects or completed projects, or by the receipt of program income, may be reprogrammed to any approved CDBG or HOME Action Plan or County eligible project in accordance with the requirements of the County's Citizen Participation Plan and approval from U.S. Department of Housing & Urban Development, Honolulu Field Office.

Annual Goals and Objectives

AP-20 Annual Goals and Objectives

Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	PS-1	2015	2020	Non-Housing Community Development	Island of Kauai	Community Development Needs - Public Services	CDBG: $74,500	Public service activities other than Low/Moderate Income Housing Benefit: 82 Persons Assisted
2	PF-1	2015	2020	Non-Housing Community Development	Island of Kauai	Community Development Needs - Public Facilities	CDBG: $492,671	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 1723 Persons Assisted
3	FH-1	2015	2020	Non-Housing Community Development	Island of Kauai	Community Development Needs - Fair Housing Needs	CDBG: $2,000	Other: 60 Other
4	A-1	2015	2020	Administration	Island of Kauai	Community Development Needs - Planning and Admin	CDBG: $141,793	Other: 2 Other
5	HO-1	2015	2020	Affordable Housing	Island of Kauai	Housing and Special Needs Housing - Homeowner	CDBG: $725,000	Homeowner Housing Added: 1 Household Housing Unit Direct Financial Assistance to Homebuyers: 1 Households Assisted

Table 6 – Goals Summary

OMB Control No: 2506-0117 (exp. 06/30/2018)

Goal Descriptions

1	**Goal Name**	PS-1
	Goal Description	Funds will be used towards two (2) public service programs: 1) Provide HUD-certified housing education workshops and counseling; and 2) Provide outreach and training to increase knowledge and resources required to develop homes less than 640 square feet compliant to Kauai County Code.
2	**Goal Name**	PF-1
	Goal Description	Funds will be used to: 1) Rehabilitate the emergency homeless shelter to extend the life of the facility; 2) Rehabilitate the basketball court and restroom to improve the use and accesibility of a recreation park located in a low-mod area.
3	**Goal Name**	FH-1
	Goal Description	To conduct outreach, educate, coordinate, and train the public--Section 8 participants, landlords, tenants, and property management staff in order to increase knowledge and awareness of federal and state fair housing laws.
4	**Goal Name**	A-1
	Goal Description	Will provide program management functions to include: administration, coordination, monitoring program activites for progress and compliance, prepare reports, develop agreements with subrecipients, conduct evaluation, training and oversight.

5	**Goal Name**	HO-1
	Goal Description	The County will use "Prior Year Resources" from the Housing Agency's revolving loan fund activities, Homebuyer Loan Program and Home Purchase Program. All home sales, ground lease fees and loan repayments generated from the County Housing programs will be receipted to the designated activities' revolving fund.

Projects

AP-35 Projects – 91.220(d)

Introduction

For the program year 2018, the County of Kaua'i proposes to fund two (2) public service projects and two (2) public facility projects. Additionally, the County will continue its two (2) revolving loan fund programs. An alternate project has been identified should any project not proceed as represented in the CDBG application or additional funds become available.

Projects

#	Project Name
1	Homeownership Education and Counseling Project
2	Local Family Small Homes Program
3	Emergency Homeless Shelter Rehabilitation
4	Kalena Park Rehabilitation
5	KCHA Homebuyer Loan Program RLF
6	KCHA Home Purchase Program RLF
7	General Administration
8	(ALTERNATE) Acquisition of Ekolu Property for Transitional Housing

Table 7 - Project Information

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

The County does not use a formula for allocating CDBG funds. CDBG funds are allocated through a competitive application which scores and ranks applications for eligibility, project readiness, experience and qualifications, and priorities. Final outcomes for project selection and funding levels are subject to the approval of the mayor and fund appropriation of the County Council. The allocation of CDBG funding varies from year to year. Annual funding cuts pose obstacles to addressing underserved needs. Annual requests for public service funding far exceeds the 15 percent cap. Organizations are forced to decrease the intended amount of participants to serve.

AP-38 Project Summary

Project Summary Information

1	**Project Name**	Homeownership Education and Counseling Project
	Target Area	Island of Kauai
	Goals Supported	PS-1
	Needs Addressed	Community Development Needs - Public Services
	Funding	CDBG: $42,500
	Description	Homeownership Education & Counseling Project ($42,500), Hawaiian Community Assets, Inc. will use funds to provide HUD-certified homebuyer education and foreclosure prevention workshops and counseling. Participants who successfully complete homebuyer education and counseling will be eligible to be placed on the Kauai Resident Homebuyer List as potential forst-time homebuyers of the County's mortgage finance programs. The activity is eligible under 24 CFR 570.201(e), matrix code 05U. Addtionally, this activity meets CDBG national objective under 24 CFR 208(a)(2), Limited Clientele or LMC. Hawaiian Community Assets, Inc. will obtain data to determine total family or household size and income utilizing the County's policy on the Use of Self-Certification to verify that the percentage of low- to moderate-income beneficiaries are at least 51%. This is a current public service project with increased service. Other leveraged funds $121,891
	Target Date	6/30/2019
	Estimate the number and type of families that will benefit from the proposed activities	58 low- to moderate-income persons.
	Location Description	4523 Ioane Road, Anahola, HI 96703.
	Planned Activities	Homeownership Education & Counseling - Hawaiian Community Assets, Inc. - will use funds to provide HUD-certified pre-purchase homebuyer education and foreclosure prevention. Participants who successfully complete homebuyer education and counseling will be eligible to be place on the County's or privately developed housing units, or any of the County's mortgage finance programs.
2	**Project Name**	Local Family Small Homes Program
	Target Area	Island of Kauai
	Goals Supported	PS-1
	Needs Addressed	Community Development Needs - Public Services

OMB Control No: 2506-0117 (exp. 06/30/2018)

	Funding	CDBG: $32,000
	Description	Local Family Small Homes Program ($32,000) - Homestead Community Development Corporation - will use funds to provide outreach and training to increase knowledge and resources required to develop homes less than 640 square feet compliant to Kauai County Building Code. The activity is eligible under 24 CFR 570.201(e), matrix code 05. Additionally, this activity meets CDBG national objective under 24 CFR 570.208(a)(2), Limited Clientele or LMC. Homestead Community Development Corporation will obtain data to determine total family or household size and income utilizing the County's Policy on the Use of Self-Certification to verify that the percentage of low- to moderate-income beneficiaries are at least 51%. This is a new public service project. Other leveraged funds: $37,000.
	Target Date	6/30/2019
	Estimate the number and type of families that will benefit from the proposed activities	24 low- to moderate-income persons.
	Location Description	
	Planned Activities	Local Family Small Homes Program - Homestead Community Development Corporation - will use funds to provide outreach and training to increase knowledge and resouces required to develop homes less than 640 square feet compliant to Kauai County Code.
3	**Project Name**	Emergency Homeless Shelter Rehabilitation
	Target Area	Island of Kauai
	Goals Supported	PF-1
	Needs Addressed	Community Development Needs - Public Facilities
	Funding	CDBG: $360,367

	Description	Emergency Homeless Shelter Rehabilitation - Kauai Economic Opportunity, Inc. ($360,367) - will use funds to rehab the shelter to improve and/or correct ADA accessibility and extend the life of the facility. The activity is eligible under 24 CFR 570.201(c), matrix code 03C. Additionally, this activity meets CDBG national objective under 24 CFR 208(a)(2)(A), Limited Clientele, presumed benefit, homeless. Kauai Economic Opportunity, Inc. will obtain data to certify homeless utilizing the County's National Objective Compliance Form - Homeless. Other leveraged funds $0
	Target Date	6/30/2019
	Estimate the number and type of families that will benefit from the proposed activities	200 limited clientele, presumed benefit, homeless persons
	Location Description	2805 Wehe Road, Lihue, Hi 96766
	Planned Activities	Emergency Homeless Shelter Rehabilitation - Kauai Economic Opportunity, Inc. - will use funds to rehab the shelter to improve and/or correct ADA accessibility and extend the life of the facility.
4	**Project Name**	Kalena Park Rehabilitation
	Target Area	Island of Kauai
	Goals Supported	PF-1
	Needs Addressed	Community Development Needs - Public Facilities
	Funding	CDBG: $132,304
	Description	Kalena Park Rehabilitation ($132,304) - Department of Parks and Recreation - will use funds to rehab the basketball court and restroom to improve the use and accessibility of the park located in a low- to moderate-income area neighborhood. The activity is eligible under 24 CFR 570.201(c), matrix code 03F. Addtionally, this activity meets CDBG national objective under 24 CFR 570.208(a)(1), low- to moderate-income area, or LMA. Other leveraged funds $0
	Target Date	6/30/2019

	Estimate the number and type of families that will benefit from the proposed activities	1523 low- to moderate-income area, LMA
	Location Description	4210 Malama Street, Lihue, HI 96766
	Planned Activities	Kalena Park Rehabilitation - Department of Parks and Recreation - will use funds to rehab the basketball court and restroom to improve the use and accessibility of the park located in a low- to moderate-income area neighborhood.
5	**Project Name**	KCHA Homebuyer Loan Program RLF
	Target Area	Island of Kauai
	Goals Supported	HO-1
	Needs Addressed	Housing and Special Needs Housing - Homeowner
	Funding	CDBG: $362,500
	Description	Kauai County Housing Agency Homebuyer Loan Program RLF ($362,500) will use dedicated revolving loan funds to continue its mortgage financing for low-cost primary or gap loans for first-time homebuyers. The activity is eligible under 24 CFR 570.201(n), matrix code 13. Additionally, this activity meets CDBG national objective under 24 CFR 570.208(a)(3), low- to moderate-income households or LMH. KCHA will obtain data to determine total family or household size and income utilizing the agency's Verification of Income and Asset Policy in order to verify that the income is at or below 80% of HUD's income limits for Kauai County. Other leveraged funds: $0
	Target Date	6/30/2019
	Estimate the number and type of families that will benefit from the proposed activities	1 low- to moderate-income households, first-time homebuyer, LMH.
	Location Description	4444 Rice Street, Suite 330, Lihue, HI 96766
	Planned Activities	Kauai County Housing Agency Homebuyer Loan Program RLF will use dedicated revolving loan funds to continue its mortgage financing for low-cost primary or gap loans for first-time homebuyers.
	Project Name	KCHA Home Purchase Program RLF

OMB Control No: 2506-0117 (exp. 06/30/2018)

6	**Target Area**	Island of Kauai
	Goals Supported	HO-1
	Needs Addressed	Housing and Special Needs Housing - Homeowner
	Funding	CDBG: $362,500
	Description	Kauai County Housing Agency Home Purchase Program ($362,500) will use dedicated revolving funds to continue its program that purchases affordably priced homes on Kauai to resell to eligible, first-time , low- to moderate-income families by way of the County's 90-year Ground Lease Program. The activity is eligible under 24 CFR 570.201(n), matrix code 13. Additionally, this activity meets CDBG national objective under 24 CFR 570.208(a)(3), low- to moderate-income households or LMH. KCHA will obtain data to determine total family or household size and annual income in order to verify that income is at or below 80% of HUD's Income Limits for Kauai County. Other leveraged funds: $0
	Target Date	6/30/2019
	Estimate the number and type of families that will benefit from the proposed activities	1 low- to moderate-income household, LMH.
	Location Description	4444 Rice Street, Suite 330, Lihue, HI 96766
	Planned Activities	Kauai County Housing Agency Home Purchase Program will use dedicated revolving funds to continue its program that purchases affordably priced homes on Kauai to resell to eligible, first-time , low- to moderate-income families by way of the County's 90-year Ground Lease Program.
7	**Project Name**	General Administration
	Target Area	Island of Kauai
	Goals Supported	A-1
	Needs Addressed	Community Development Needs - Planning and Admin
	Funding	CDBG: $141,793

	Description	Kauai County Housing Agency ($141,793) will use funds to provide program management that includes: administration, coordination, training, project implementation, compliance monitoring, evaluation, record-keeping and reporting. This activity is eligible under 24 CFR 570.206, matrix code 21A. Funds will also be used to conduct outreach, educate, coordinate and train the public: Section 8 participants, landlords, tenants, and property management staff in order to increase knowledge and awareness of federal and state fair housing laws. This activity is eligible under 24 CFR 570.206(c), matrix code 21D. There is no national objective code for fair housing activities. Other leveraged funds $0.
	Target Date	6/30/2019
	Estimate the number and type of families that will benefit from the proposed activities	60 Section 8 participants, landlords, tenants and property management staff. 2 KCHA staff.
	Location Description	4444 Rice Street, Suite 330, Lihue, HI 96766
	Planned Activities	Kauai County Housing Agency will use funds to provide program management that includes: administration, coordination, training, project implementation, compliance monitotring, evaluation, record-keeping and reporting. Funds will also be used to conduct outreach, educate, coordinate and train the public: Section 8 participants, landlords, tenants, and property management staff in order to increase knowledge and awareness of federal and state fair housing laws.
8	**Project Name**	(ALTERNATE) Acquisition of Ekolu Property for Transitional Housing
	Target Area	Island of Kauai
	Goals Supported	PF-1
	Needs Addressed	Homeless Needs - Emergency Shelter & Transitional
	Funding	:
	Description	(ALTERNATE) Acquisition of Ekolu Property for Transitional Housing ($567,279) - Women In Need - will use funds to acquire the property that is operated as a transitional housing facility. The activity is eligible under 24 CFR 570.201(a), matrix code 01. Additionally, this activity meets CDBG national objective under 24 CFR 570.208(a), limited clientele or LMC.
	Target Date	6/30/2019

	Estimate the number and type of families that will benefit from the proposed activities	30 low- to moderate-income individuals and their families.
	Location Description	4356 Ekolu Road, Lihue, HI 96766
	Planned Activities	(ALTERNATE) Acquisition of Ekolu Property for Transitional Housing - Women In Need - will use funds to acquire the property that is operated as a transitional housing facility.

AP-50 Geographic Distribution – 91.220(f)

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

The County plans to utilize CDBG funds that provide direct benefits to various locations and area-wide benefits for geographic areas of the island designated as low-mod areas. Using the American Community Survey (ACS) on FY2017 LMSID by State - All block groups based on 2006-2010 dataset, the County will qualify low-mod areas where 51% have income at or below 80% HUD CDBG Income Limits.

Geographic Distribution

Target Area	Percentage of Funds
Island of Kauai	100

Table 8 - Geographic Distribution

Rationale for the priorities for allocating investments geographically

The County does not use a formula for allocating CDBG funds. CDBG funds are allocated through a competitive application which scores and ranks applications for eligibility, project readiness, experience and qualifications, and priorities. Final outcomes for project selection and funding levels are subject to the approval of the mayor and fund appropriation of the County Council. As a result of using this process, the allocation of CDBG funding varies from year to year.

Discussion

Affordable Housing

AP-55 Affordable Housing – 91.220(g)

Introduction

One Year Goals for the Number of Households to be Supported	
Homeless	0
Non-Homeless	2
Special-Needs	0
Total	2

Table 9 - One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households Supported Through	
Rental Assistance	0
The Production of New Units	0
Rehab of Existing Units	0
Acquisition of Existing Units	2
Total	2

Table 10 - One Year Goals for Affordable Housing by Support Type

Discussion

The numbers listed above excludes provisions for emergency shelter, transitional or temporary shelter or social service programs. However, one organization that will carry out services that also meet the goal of "housing beds added" through their shelter.

Through homebuyer education and counseling, fifty-eight (58) individuals will receive direct homeownership assistance and could have opportunities and be eligible to buy leasehold properties from the County or receive low-cost, long-term mortgage financing from the County.

Kaua‘i County will continue to administer programs that are sustainable through revolving funds: Homebuyer Loan Program and Home Purchase Program.

One hundred thirty four (134) new affordable rental units will be constructed using HTF and HOME funds. Koa'e, a workforce housing project is slated for completion in July 2019.

AP-60 Public Housing – 91.220(h)

Introduction

Actions planned during the next year to address the needs to public housing

Refer to the State of Hawaiʻi Annual Action Plan.

Actions to encourage public housing residents to become more involved in management and participate in homeownership

KCHA will continue its efforts to outreach and provide information on current housing programs that: 1) offer low-cost, low-interest loans to eligible first-time homebuyers; 2) provide homebuyer education and counseling classes; and 3) provide opportunities for first-time buyers to purchase their own home via the County's leasehold program. KCHA will provide brochures and posters and meet with interested public housing residents and staff.

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

Not applicable.

Discussion

AP-65 Homeless and Other Special Needs Activities – 91.220(i)

Introduction

Kauai Community Alliance (KCA), a local chapter of the Continuum of Care (CoC), works in collaboration with the County of Kauai and the State's Homeless Programs Office (HPO) to provide services and assess the needs of our homeless community to ensure that they receive the proper level of care and or services.

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The Statewide annual Point-in-Time (PIT) count is held at the end of January. During this count, volunteers are visually able to locate individuals and offer immediate or future assistance. Kauai Economic Opportunity, Inc. (KEO) operates the island's only homeless outreach van which travels island wide on a daily schedule to provide care kits, and services to the island's homeless population. The County has implemented its Coordinated Entry System (CES). The CES institutes a consistent and uniform access, assessment, prioritization, and referral process to determine the most appropriate response to each family or individuals immediate housing needs.

Addressing the emergency shelter and transitional housing needs of homeless persons

The County of Kauai in collaboration with KEO, will provide $360,367 in CDBG funds to rehabilitate the shelter to improve and/or correct ADA accessibility and extend the life of the shelter.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

Support services and programs are in place to provide guidance and resources for individuals and

families transitioning from homelessness, shelter or transitional housing to permanent housing or independent living. Housing assistance programs include, but are not limited to, Housing Choice Voucher (HCV) program, Tenant Based Rental Assistance (TBRA) voucher assistance program. Service programs that are available for families who have experience homelessness and to prevent them from becoming homeless again, are provided through the Permanent Supportive Housing (PSH) program, Rapid Rehousing Program (RRH) and Transitional Housing (TH) program.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.

The State's HPO division works diligently to seek and provide funds to assist with prevention of homelessness. Kauai County has implemented its CES program, which follows the Housing First (HF) model. The HF model provides housing as a first step, without preconditions and wrap around services to follow. The model is created to assist individuals and/or families with support and/or social services to sustain and thrive in their new living environment and thus becoming productive members of their communities. KCA's participating agencies and local churches currently provide services to people who are incarcerated. While services are limited during their incarceration, it continues after they are released. Assistance is also offered to families of incarcerated persons after their release. KCA agencies work together to provide a variety of services for homeless, at- risk homeless and formerly homeless individuals and families. Services include, but are not limited to, case management, employment skills, financial education, substance abuse classes, ATV classes, etc. These are just a few of the services offered, again, assistance varies from agencies and funding availability. KCHA will continue to work with the CoC, HPO and other institutions or systems of care to make funding available for eligible activities to help meet the needs of the specific populations they serve.

Discussion

HOPWA funds are administered through the State Homeless Programs Division. Maui Aids Foundation receives the annual allocation for HOPWA and distributes funds to the neighboring Counties (Kauai, Maui and Hawaii County). Goals are listed in the State of Hawaii Consolidated Plan, Annual Action Plan, fourth program year, July 1, 2018 - June 30, 2019.

One year goals for the number of households to be provided housing through the use of HOPWA for:

- Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or

family

- Tenant-based rental assistance
- Units provided in housing facilities (transitional or permanent) that are being developed, leased, or operated
- Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds

AP-75 Barriers to affordable housing – 91.220(j)

Introduction:

In the context of building homes that are affordable, many government regulations are in place to ensure health and safety and to protect natural resources. However, government regulations can work against developing affordable housing. The most common types of regulation that affect housing are building codes, environmental regulations, zoning, land use, impact fees and exactions, fiscal policy and administrative practices. The negative effects from regulation are delays and additional costs to develop. In developing affordable housing, this can directly impact project feasibility.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

Tax Policy -- No actions or reform steps proposed.

Land Use Controls -- The Housing Agency will support efforts that streamline the planning, zoning and permitting process through the administration of the County's 201-H policy and processing of development exemptions for eligible applicants that develop at least 51% of the total project units as affordable housing.

Affordable Housing Task Force – The Housing Agency will continue to assist private developers through it's Affordable Housing Task Force. The Task Force consists of representatives from County line review agencies and provides a private developer the opportunity to dialogue and receive feedback to help streamline project review time.

Expedited Permitting – The Housing Agency, under authority of the Mayor, will continue to recommend expedited permitting for affordable housing projects. Projects so designated will be given preferential permitting by review agencies.

Fee and Charges -- The Housing Agency will continue to administer requests for waivers of fees and charges allowed for affordable housing pursuant to Kaua'i County Code for building permits, sewer connection fees, environmental impact assessment fees, etc.

Growth Limits -- The County will continue to support the development of comprehensive planning for the island's communities consistent with the general plan update and endorse planning principles that integrate reasonable growth principles.

Policies that Affect the Return on Residential Investment -- The County will impose deed restrictions on the transfer of dwelling units according to the minimum requirements of Ordinance No. 860. This deed

restriction requires owner occupancy of the units. If the owner wishes to sell their unit within a buyback period, the unit must be first offered to the County at a price determined by a prescribed formula.

Discussion:

AP-85 Other Actions – 91.220(k)

Introduction:

Promoting activities within the County brings awareness and education to the public on relevant issues on fair housing. Under the PY 2018 Action Plan, the County will continue to take actions to address obstacles to meet underserved needs, foster and maintain housing, and remove barriers to affordable housing.

Actions planned to address obstacles to meeting underserved needs

To address obstacles, the County will undertake the following actions:

- Leverage federal resources (e.g. Low Income Housing Tax Credits) to increase the supply of rental housing for underserved lower income populations.
- Invest federal resources to maintain the supply of rental housing for the underserved lower income populations.
- Invest federal resources (e.g. HOME) to produce low cost rental units for transitional programs for a segment of the island's population preparing for a transition to permanent housing.
- Utilize the County's Fast Track Permitting for Workforce Housing Projects that speed the process in order to accelerate development for new affordable housing.
- Support applications that seek funding by non-profit organizations from other federal sources that are consistent with Consolidated Plan objectives and priorities.

Actions planned to foster and maintain affordable housing

- Provide CDBG loan assistance through the Home Buyer Loan Program to provide low-cost mortgage loans to low- and moderate-income households.
- Provide CDBG funds to purchase and/or rehabilitate homes to resell to low-and moderate-income hoseholds.
- Operate and maintain County's inventory of 160 affordable rental units primarily for low-income housholds at affordable and stable rents.

Actions planned to reduce lead-based paint hazards

The County will continue to address lead-based paint to:

- Comply with federal guidelines under 24 CFR 35 for testing and abating lead-based paint hazards in projects receiving federal assistance;
- Require owner participants in the Section 8 Rental Assistance Program to certify that they will

advise the County and tenant-family of any lead-based paint on surface of homes used to participate in the program;

- Provide each tenant participant in the Section 8 Rental Assistance Program, Home Buyer Loan Program and Home Purchase Program with a copy of the EPA Bulletin, "Protect Your Family From Lead in Your Home"; and
- Abate lead-based paint on structures undergoing major renovation with financing through government programs, such as HOME and CDBG, and provide technical assistance in the abatement design and construction process.

Actions planned to reduce the number of poverty-level families

The County will continue to operate the Family Self-Sufficiency (FSS) Program that assists Section 8 Housing Choice Voucher clients develop skills, abilities, and self-confidence needed to find and maintain jobs which pay adequate wages to minimize a need for public assistance.

The County will support services designed towards achieving self-sufficiency:

- Provide funds to non-profit organizations with social services that will strengthen families;
- Make funds available to organizations that provide protective services for children and adults; and
- Provide funds to organizations for job training and placement services to help individuals become financially self-sufficient.

Actions planned to develop institutional structure

The County will utilize the new policy Fast Track Permitting for Workforce Housing Projects that will speed the permitting process on projects with at least 51% affordable housing units.

Actions planned to enhance coordination between public and private housing and social service agencies

The County will continue to provide public meetings in which citizens, agencies and interested parties are encouraged to give input and participate in identifying community needs and strategies. The County will continue to foster relationships with private organizations, businesses, and developers to build partnerships that can initiate and support economic and community development. Additionally, the County will continue to maintain, foster, and find new public agencies and non-profit organizations to ensure that benefits from the CDBG program are delivered to the community.

Discussion:

Program Specific Requirements

AP-90 Program Specific Requirements – 91.220(l)(1,2,4)

Introduction:

The County does not expect to receive CDBG program income during the Annual Action Plan 2018. All home sales, ground lease fees and loan repayments generated from County Housing programs will be receipted to the designated activities' revolving fund.

Community Development Block Grant Program (CDBG)
Reference 24 CFR 91.220(l)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	0
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
Total Program Income:	**0**

Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income.Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	100.00%

Housing Trust Fund (HTF)
Reference 24 CFR 91.220(l)(5)

1. Distribution of Funds

a. Describe the eligibility requirements for recipients of HTF funds (as defined in 24 CFR § 93.2).

Rental Housing - County will focus HTF funds on providing affordable rental housing to 30% AMI households. HTF funds may be used for the production, preservation, and rehabilitation of affordable rental housing through the acquisition, new construction, reconstruction, or rehabilitation of non-luxury housing with suitable amenities.

All rehabilitation projects must meet applicable HTF rehabilitation standards and the requirements of 24 CFR 93.301(b). However, the County intends to expend HTF funds received in PY 2016 only for the new construction of rental housing.

Eligible HTF activities and expenses include: real property acquisition, site improvements and development hard costs, related soft costs, conversion, demolition, financing costs, relocation assistance, operating cost assistance and reasonable administrative and planning costs for HTF program administration.

b. Describe the jurisdiction's application requirements for eligible recipients to apply for HTF funds.

An organization, agency or other entity (including a public housing agency, or a for-profit entity, or a nonprofit entity) is eligible to apply for HTF assistance as an owner or developer to carry out an HTF-assisted project. An HTF Recipient must:

- Make assurances to the County that it will comply with the requirements of the HTF program during the entire period that begins upon the selection of the recipient to receive HTF funds, and ending upon the conclusion of all HTF-funded activities;
- Demonstrate the ability and financial capacity to undertake, comply and manage the eligible activity;
- Demonstrate its familiarity with the requirements of other Federal, State, or local housing programs that may be used in conjunction with HTF funds to ensure compliance with all applicable requirements and regulations of such programs; and
- Have demonstrated experience and capacity to conduct an eligible HTF activity as evidenced by its ability to own, construct, or rehabilitate, and manage an affordable multifamily rental housing development.

OMB Control No: 2506-0117 (exp. 06/30/2018)

c. Describe the selection criteria that the jurisdiction will use to select applications submitted by eligible recipients.

The following selection criteria shall be used in the evaluation of HTF Applications:

- Timeliness and Readiness to Proceed (Max. 30 pts.) – The proposed project is feasible and will meet the required timelines to commit and expend HTF funds. Factors to be considered for timeliness and readiness are site control, financial commitments, environmental factors, zoning, utilities and site and neighborhood standards;
- Consolidated Plan Priorities (Max. 10 pts.) – The extent to which the project proposes accomplishments that will meet the rental housing objectives for the County. Preference to projects will be based on several factors, such as accessibility to employment opportunities, public transportation, schools, etc. Geographical distribution will be targeted to the three primary areas of Kauai's transit and employment, which are, Kawaihau district, Lihue district and the Koloa district. Other factors are considered, are housing that includes green building and sustainable building features or housing that serves special needs populations;
- Development Experience and Financial Capacity (Max 25 pts.) – Applicant's ability to obligate HTF dollars and undertake funded activities in a timely manner, pase performance using federal funds, evidence of experience in developing and managing projects of similar type of scope, staff qualifications, fiscal soundness, and qualifications of the proposed project team, including proven record of experience with comparable projects;
- Financially Feasible Project (Max. 25 pts.) – Project pro forma to cover a minimum 30-year HTF affordability period and include rents that are affordable to extremely low-income households. Priority to be given for projects with extended affordability periods and project-based rental assistance; and
- Use of Non-Federal Funding Sources (Max. 10 pts.) – For extremely low-income targeting of 30% AMI, other funding sources compatible with HTF are needed. Priority consideration will be given to the extent HTF leverages non-federal funding sources.
- A minimum score of 50 points (out of the 100 total points) must be scored in order to be recommended for the award of HTF funds. Successful Recipient(s) will receive a Notice of Award, which will state that the County's intent to award HTF funds is subject to approval by the approving authorities of the County, HHFDC, and HUD.
- Maximum Per-Unit Development Subsidy Limits – Each year, HHFDC must establish maximum limitations on the total amount of HTF funds that may be invested per-unit for development of non-luxury rental housing projects. The HOME Program Maximum Per-Unit Subsidy Limits have been adopted for the HTF program. The development costs of affordable rental housing across the state are generally higher in comparison with the HOME subsidy limits. However, due to the limited funding, the HTF projects will require leveraging with other significant sources of funds. The HOME subsidy limit provides a reasonable maximum to develop a greater number of HTF assisted units throughout the state.

d. Describe the jurisdiction's required priority for funding based on geographic distribution, which is a description of the geographic areas of the State (including areas of low-income and minority concentration) in which it will direct assistance during the ensuing program year.

Geographical distribution will be given preference to the three primary areas of Kauai's transit and employment, which are: Kawaihau district, Lihue district and the Koloa district. Other factors considered are housing that includes green building and sustainable building features or housing that serves special needs populations.

e. Describe the jurisdiction's required priority for funding based on the applicant's ability to obligate HTF funds and undertake eligible activities in a timely manner.

An organization, agency or other entity (including a public housing agency, or a for-profit entity, or a nonprofit entity) is eligible to apply for HTF assistance as an owner or developer to carry out an HTF-assisted project. An HTF Recipient must:

- Make assurances to the County that it will comply with the requirements of the HTF program during the entire period that begins upon the selection of the recipient to receive HTF funds, and ending upon the conclusion of all HTF-funded activities;
- Demonstrate the ability and financial capacity to undertake, comply and manage the eligible activity;
- Demonstrate its familiarity with the requirements of other Federal, State, or local housing programs that may be used in conjunction with HTF funds to ensure compliance with all applicable requirements and regulations of such programs; and
- Have demonstrated experience and capacity to conduct an eligible HTF activity as evidenced by its ability to own, construct, or rehabilitate, and manage an affordable multifamily rental housing development.

f. Describe the jurisdiction's required priority for funding based on the extent to which rents for units in the rental project are affordable to extremely low-income families.

Rental Housing – County will focus HTF funds on providing affordable rental housing to 30% AMI households. HTF funds may be used for the production, preservation, and rehabilitation of affordable rental housing through acquisition, new construction, reconstruction, or rehabilitation of non-luxury housing with suitable amenities.

g. Describe the jurisdiction's required priority for funding based on the financial feasibility of the project beyond the required 30-year period.

Financially Feasible Project – Project pro forma to cover a minimum 30-year HTF affordability period and include rents that are affordable to extremely low-income households. Priority to be given for projects with extended affordability periods and project-based rental assistance.

h. Describe the jurisdiction's required priority for funding based on the merits of the application in meeting the priority housing needs of the jurisdiction (such as housing that is accessible to transit or employment centers, housing that includes green building and sustainable development features, or housing that serves special needs populations).

Consolidated Plan Priorities – The extent to which the project proposes accomplishments that will meet the rental housing objectives for the County. Preference to projects will be based on several factors, such as accessibility to employment opportunities, public transportation, schools, etc. Geographical distribution will be targeted to the three primary areas of Kauai's transit and employment, which are: Kawaihau district, Lihue district and the Koloa district. Other factors considered are housing that includes green building and sustainable building features or housing that serves special needs populations.

i. Describe the jurisdiction's required priority for funding based on the location of existing affordable housing.

The National Housing Trust Fund priority for funding based on the location of existing affordable housing is to focus on the preservation of rental housing for extremely low-income (30% AMI) families in Kauai County.

j. Describe the jurisdiction's required priority for funding based on the extent to which the application makes use of non-federal funding sources.

Use of Non-Federal Funding Sources – For extremely low-income targeting of 30% AMI, other funding sources compatible with HTF are needed. Priority consideration will be given to the extent HTF leverages non-federal funding sources.

2. Does the jurisdiction's application require the applicant to include a description of the eligible activities to be conducted with HTF funds?

Yes

3. Does the jurisdiction's application require that each eligible recipient certify that housing units assisted with HTF funds will comply with HTF requirements?

Yes

4. **Performance Goals and Benchmarks.** The jurisdiction has met the requirement to provide for performance goals, consistent with the jurisdiction's goals established under 24 CFR 91.215(b)(2), by including HTF in its housing goals in the housing table on the SP-45 Goals and AP-20 Annual Goals and

Objectives screens.

Yes

5. **Rehabilitation Standards.** The jurisdiction must establish rehabilitation standards for all HTF-assisted housing rehabilitation activities that set forth the requirements that the housing must meet upon project completion. The jurisdiction's description of its standards must be in sufficient detail to determine the required rehabilitation work including methods and materials. The standards may refer to applicable codes or they may establish requirements that exceed the minimum requirements of the codes. The jurisdiction must attach its rehabilitation standards below. If the jurisdiction will not use HTF funds for the rehabilitation of housing, enter "N/A".

In addition, the rehabilitation standards must address each of the following: health and safety; major systems; lead-based paint; accessibility; disaster mitigation (where relevant); state and local codes, ordinances, and zoning requirements; Uniform Physical Condition Standards; and Capital Needs Assessments (if applicable).

N/A. During this program year, HTF funds will not be used for Rehabilitation.

6. **Resale or Recapture Guidelines.** Below, the jurisdiction must enter (or attach) a description of the guidelines that will be used for resale or recapture of HTF funds when used to assist first-time homebuyers. If the jurisdiction will not use HTF funds to assist first-time homebuyers, enter "N/A".

N/A

7. **HTF Affordable Homeownership Limits.** If the jurisdiction intends to use HTF funds for homebuyer assistance and does not use the HTF affordable homeownership limits for the area provided by HUD, it must determine 95 percent of the median area purchase price and set forth the information in accordance with §93.305. If the jurisdiction will not use HTF funds to assist first-time homebuyers, enter "N/A".

N/A

8. **Limited Beneficiaries or Preferences.** Describe how the jurisdiction will limit the beneficiaries or give preferences to a particular segment of the extremely low- or very low-income population to serve unmet needs identified in its consolidated plan or annual action plan. If the jurisdiction will not limit the beneficiaries or give preferences to a particular segment of the extremely low- or very low-income population, enter "N/A."

Any limitation or preference must not violate nondiscrimination requirements in § 93.350, and the jurisdiction must not limit or give preferences to students. The jurisdiction may permit rental housing owners to limit tenants or give a preference in accordance with § 93.303 only if such limitation or preference is described in the action plan.

N/a

9. **Refinancing of Existing Debt.** Enter or attach the jurisdiction's refinancing guidelines below. The guidelines describe the conditions under which the jurisdiction will refinance existing rental housing project debt. The jurisdiction's refinancing guidelines must, at minimum, demonstrate that rehabilitation is the primary eligible activity and ensure that this requirement is met by establishing a minimum level of rehabilitation per unit or a required ratio between rehabilitation and refinancing. If the jurisdiction will not refinance existing debt, enter "N/A."

N/A

Discussion:

Attachments

Grantee SF-424's and Certification(s)

OMB Number: 4040-0004
Expiration Date: 10/31/2019

Application for Federal Assistance SF-424

* 1. Type of Submission:	* 2. Type of Application:
☐ Preapplication	☒ New
☒ Application	☐ Continuation
☐ Changed/Corrected Application	☐ Revision

* If Revision, select appropriate letter(s):

* Other (Specify):

* 3. Date Received:

4. Applicant Identifier:

5a. Federal Entity Identifier:

5b. Federal Award Identifier:

State Use Only:

6. Date Received by State:

7. State Application Identifier:

8. APPLICANT INFORMATION:

* a. Legal Name: County of Kauai

* b. Employer/Taxpayer Identification Number (EIN/TIN): 99-6000658

* c. Organizational DUNS: 113218945

d. Address:

* Street1: 4444 Rice Street, Suite 330

Street2:

* City: Lihue

County/Parish:

* State: HI: Hawaii

Province:

* Country:

* Zip / Postal Code: 96766

e. Organizational Unit:

Department Name: Kauai County Housing Agency

Division Name: Development Division

f. Name and contact information of person to be contacted on matters involving this application:

Prefix:

* First Name: Kerrilyn

Middle Name:

* Last Name: Barros

Suffix:

Title: CDBG Program Coordinator

Organizational Affiliation:

* Telephone Number: 808-241-4435

Fax Number:

* Email: kbarros@kauai.gov

OMB Control No: 2506-0117 (exp. 06/30/2018)

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

B: County Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

U.S. Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.228

CFDA Title:

Community Development Block Grant

*** 12. Funding Opportunity Number:**

B-18-DH-15-0001

* Title:

Community Development Block Grant EGD Administered Non-Entitled Counties in Hawaii Program

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

Add Attachment | Delete Attachment | View Attachment

*** 15. Descriptive Title of Applicant's Project:**

Homeownership Education & Counseling; Local Family Homes Program; Emergency Homeless Shelter Rehabilitation; Kalena Park Rehabilitation.

Attach supporting documents as specified in agency instructions.

Add Attachments | Delete Attachments | View Attachments

OMB Control No: 2506-0117 (exp. 06/30/2018)

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant: 2nd HI
* b. Program/Project: 2nd HI

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment | Delete Attachment | View Attachment

17. Proposed Project:

* a. Start Date: 07/01/18
* b. End Date: 06/30/19

18. Estimated Funding ($):

* a. Federal	708,964
* b. Applicant	
* c. State	
* d. Local	
* e. Other	725,000
* f. Program Income	
* g. TOTAL	1,433,964

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- [ ] a. This application was made available to the State under the Executive Order 12372 Process for review on
- [ ] b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- [x] c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

- [ ] Yes
- [x] No

If "Yes", provide explanation and attach

Add Attachment | Delete Attachment | View Attachment

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

- [x] ** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix:	
* First Name:	Bernard
Middle Name:	P.
* Last Name:	Carvalho
Suffix:	Jr.
* Title:	Mayor, County of Kauai
* Telephone Number:	808-241-4900
Fax Number:	
* Email:	mayor@kauai.gov
* Signature of Authorized Representative:	
* Date Signed:	7/9/18

OMB Control No: 2506-0117 (exp. 06/30/2018)

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the County certifies that:

Affirmatively Furthering Fair Housing -- It will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-Displacement and Relocation Plan – It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

A. **Drug-Free Workplace** -- It will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;

2. Establishing an ongoing drug-free awareness program to inform employees about -

   (a) The dangers of drug abuse in the workplace;
   (b) The grantee's policy of maintaining a drug-free workplace;
   (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
   (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;

4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -

   (a) Abide by the terms of the statement; and
   (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

OMB Control No: 2506-0117 (exp. 06/30/2018)

5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted -

   (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

   (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5, and 6.

B. **Anti-Lobbying** -- To the best of the County's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and

3. It will require that the language of paragraphs 1 and 2 of this certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all sub-recipients shall certify and disclose accordingly.

C. **Authority of County** -- The submission of the consolidated plan is authorized under County ordinance and the County possesses the legal authority to carry out the programs under the consolidated plan for which it is seeking funding, in accordance with applicable HUD regulations.

D. **Consistency with plan** -- The housing activities to be undertaken with CDBG and HOME funds are consistent with the strategic plan.

E. **Section 3** -- It will comply with Section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

Signature/Authorized Official

5/9/18
Date

Mayor, County of Kauai
Title

OMB Control No: 2506-0117 (exp. 06/30/2018)

Specific CDBG Certifications

The County certifies that:

Citizen Participation – It is in full compliance and following a detailed citizen participation plan that satisfied the requirements of 24 CFR 91.105.

Community Development Plan – Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income (24 CFR 570.2 and 24 CFR part 570).

Following a Plan – It is following a current consolidated plan that has been approved by HUD.

Use of Funds – It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give the maximum feasible priority to activities that will benefit low- and moderate-income families or aid in the prevention or elimination or slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available;

2. Overall Benefit. The aggregate use of CDBG funds, including section 108 guaranteed loans, during the program year of 2018, shall principally benefit low-and moderate-income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;

3. Special Assessment. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements. However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that is lacks CDBG funs to cover the assessment.

Excessive Force -- The County has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from, a facility or location that is the subject of such non-violent civil rights demonstrations within its jurisdiction.

Compliance with Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d), the Fair Housing Act (42 U.S.C. 3601-3619), and implementing regulations.

Lead-based Paint – Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, K, and R;

Compliance with Laws – It will comply with applicable laws.

Signature/Authorized Official

5/9/18
Date

Mayor, County of Kauai
Title

OMB Control No: 2506-0117 (exp. 06/30/2018)

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:

A. Lobby Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less that $10,000 and not more than $100,000 for each such failure.

B. Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.

2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal government, may take action authorized under the Drug Free Workplace Act.

3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.

4. Workplace identifications must include the actual address of buildings (of parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).

5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the changes(s), if it previously identified the workplaces in question (see paragraph three).

6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

Kauai Economic Opportunity, Inc.,2804 Wehe Road, Lihue, HI 96766
Hawaiian Community Assets, Inc., 4523 Ioane Road, Anahola, HI 96703
County of Kaua., Department of Parks & Rec., 4210 Malama Street, Lihue, HI 96766
Homestead Community Development Corporation, 4523 Ioane Road, Anahola, HI 96703
Women In Need, 3136 Elua Street, Lihu'e, HI 96766 (alternate)

Check __ if there are workplaces on file that are not identified here.

The certification with regard to the drug-free workplace requirec by 24 CFR part 24, subpart F.

7. Definitions of terms in the Non-procurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

"Conviction" means a finding of guilt (including a plea of nolo contender) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant' and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces)