## I. Mission Statement

To diligently render proficient legal services ethically and honorably; and to act as an advisor and advocate to the various county entities which comprise the County of Kaua'i on behalf of the people.

#### II. Department Goals

The Department's goal is to provide timely competent legal advice and representation to the Mayor, County Council, and to all Departments, Commissions, Boards and Agencies. Competent representation requires the legal knowledge, skill, thoroughness, and preparation reasonably necessary for the representation.

#### Powers, Duties, and Functions

- 1. The county attorney shall be the chief legal adviser and legal representative of the county administration, all county agencies, the county council, and all county officers and employees in matters relating to their official powers and duties. The county attorney shall also perform all other services incident to the county attorney's office as may be required by law.
- 2. The county attorney shall have the power to appoint such deputy county attorneys and necessary staff authorized by the council, within the appropriation made therefor. Such deputies shall serve at the pleasure of the county attorney.
- 3. The council may, by vote of five members, authorize the employment of special counsel for any matter necessitating such employment. Any such authorization shall specify the compensation, if any, to be paid for said services. (Article VIII, Section 8.06, Kauai County Charter)
- 4. Legal process against the county shall be served upon the county attorney or any of the deputies.
- 5. Legal adviser of the council. (Article VIII, Section 8.04, Kauai County Charter)
  - a. Attend their meetings when required.
  - b. Oppose all claims and accounts against the county when the county attorney deems them unjust and illegal.
- 6. Legal advisor of the county administration. Render opinions to county officers on matters relating to the duties of their respective offices. Give, when required and without fee, an opinion in writing to county officers on matters relating to the duties of their respective offices.

- 7. Defend county officers, acting within their official capacities, in civil actions. The county attorney is not required to defend any officer in any matter or case out of which criminal proceedings against such officer may arise.
- 8. Counsel for police officers. (Sections 52D-8 and 52D-9, HRS)

Section 52D-8 "Police officers; counsel for. Whenever a police officer is prosecuted for a crime or sued in a civil action for acts undertaken in the performance of the officer's duty as a police officer, the police officer shall be represented and defended:

- (1) In criminal proceedings by an attorney to be employed and paid by the county in which the officer is serving; and
- (2) In civil cases by the . . . county attorney . . . . "

"Section 52D-9 Determination of scope of duty. The determination of whether an act, for which the police officer is being prosecuted or sued, was undertaken in the performance of the officer's duty, so as to entitle the officer to representation by county-provided counsel, shall be made by the county police commission. Before making a determination, the police commission shall consult the county attorney or the corporation counsel, who may make a recommendation to the police commission with respect thereto. The determination of the police commission shall be conclusive for the purpose of this section and section 52D-8."

9. Legal adviser of the Board of Water Supply. (Section 54-16, HRS)

Prosecute and defend any and all actions and proceedings involving matters under the jurisdiction of the Board of Water Supply.

10. Legal adviser of the Liquor Control Commission. (Chapter 281, HRS, as amended)

Defender of investigators and employees.

- a. Section 281-104. "Whenever any investigator or other employee of the liquor commission shall be . . . sued in any civil cause for acts done in the performance of the investigator's or employee's duty as such investigator or employee, the investigator or employee shall be represented and defended . . . (2) in any such civil cause by the . . . county attorney, of the county in which the investigator or employee is serving. . . . "
- b. Section 281-105. Determination whether acts were in scope of duty shall be made by the liquor commission after consultation with the

county attorney who may make a recommendation to the commission. Such determination shall be conclusive for such purpose only.

- 11. Act as counsel for appointing authority under Chapter 76, HRS, (Civil Service Law). (Section 76-47, HRS)
- 12. Duty under Chapter 480, HRS: Monopolies; Restraint of Trade.

Section 480-20: "(a) . . . The county attorney . . . shall investigate and report suspected violations of this chapter to the attorney general. (b) Whenever this chapter authorizes or requires the attorney general to commence any action or proceeding, including proceedings under Section 480-18, the attorney general may require the county attorney . . . holding office in the circuit where the action or proceeding is to be commenced or maintained, to maintain the action or proceeding under the direction of the attorney general."

- 13. Legal assistance to Chief of Police in enforcement of Automobile Safety Regulations. (Section 287-2, HRS)
- 14. Prosecute violations of Food, Drug and Cosmetic Act. (Section 328-28, HRS)
- 15. Legal assistance to Tax Collector.
- 16. Other duties.

Provide legal advice and advocacy upon request for commissions, boards, hearings, regular and special council and committee meetings, department and division meetings, conferences with Mayor and staff, including:

- Charter Review Commission
- Arborist Advisory Committee
- Historic Preservation Review Commission
- Board of Ethics
- Land Use Commission
- Committee on the Status of Women
- Fire Commission
- Public Access, Open Spaces Natural Resources Preservation Fund Committee
- Planning Commission
- Building Board of Appeals
- Housing Agency
- Police Commission
- Liquor Commission
- Board of Water Supply
- Civil Service Commission

- Real Property Assessment Appeals
- Cost Control Commission
- Salary Commission
- Council's Subcommittee on Housing
- Collective Bargaining
- Hawaii Labor Relations Board
- Workers' Compensation Appeals

To establish a litigation team to better serve the County in defending more cases in-house.

# III. Program Description

The county attorney is the legal advisor and representative to the Council, the Mayor, all departments and agencies, all boards and commissions, and all officers and employees in matters relating to their official powers and duties.

### **Objectives**

- 1. Assess all claims filed against the County, efficiently process those which this office determines to be valid, and vigorously defend against all others.
- 2. Produce and review all documents generated for the purpose of facilitating the delivery of governmental services by the County of Kaua'i to its citizens.
- 3. To provide such continuing legal education to the Mayor, the County Council, Departments, Commissions, Boards, and Agencies, as shall keep them current on changes in the law which affect the efficient and fair discharge of the duties of the County to its citizens.

#### Program Highlights and Items of Note

1. The main Departmental highlight and/or item of note is the programmatic restructuring of the County Attorney's Office in order to fulfill the budgetary policy statement of reducing outside litigation costs for special counsel. The County Attorney's Office has made the bold budgetary step of reducing its special counsel costs of approximately a million dollars this year through the formation of a dedicated litigation division. This has led to not only a reduction of special counsel costs so far but also to more legal enforcement support in civil administrative actions like zoning code enforcement. The creation of a litigation division has also led to the formation of an Advice and Counsel section that is dedicated to working together to provide consistent legal advice to County departments and agencies and looking for concurrent opportunities to assist multiple departments and to centralize the support of those departments that provide ubiquitous services to the entire county like the Department of Human Resources and the Department of Finance. We believe

that through more strategic support of lead agencies we can better address all the needs of the County versus exclusive single department advice.

#### **Activities**

- 1. County Attorney's Office continues to actively defend the County in alleged police misconduct, personal injury, personnel and contract cases. The office also litigated a variety of cases such as condemnation and foreclosures, and represented the County in administrative proceedings with the EEOC, Planning Commission, etc.
- 2. The county attorney and deputies engage in providing for pro bono services for various community agencies.
- 3. The county attorney and deputies participate in the aid station at the Kaua'i Marathon, a variety of community activities and community service projects.
- 4. Assisted and drafted amendments to the real property tax law and rules and regulations.
- 5. Advice on and defense of various personnel matters from all departments, agencies and Council.
- 6. Advise, counsel, and representation to all boards and commissions and departments.
- 7. Drafting and review of legal documents such as bills for ordinances, resolutions, contracts, lease agreements, rights-of-entries, etc.
- 8. Advise and counsel the County Council in numerous executive sessions.
- 9. Advise and counsel on all legal matters relevant to the existing landfill and the proposed new landfill at the Maalo site.

## IV. Program Measures – Accomplishments/Evaluation

The Office of the County Attorney serves to provide legal support services to all other County departments and agencies. Since 2009, the legal opinions of the Office have been accurate and supported by subsequent court rulings.

- 1. Kulana Subdivision Case: A highly legal complex case that is close to being resolved.
- 2. Legal Opinion on Bill No. 2491 (Trask & Hall) Our legal opinion on state preemption sustained by U.S. Magistrate Judge Barry Kurren.

- 3. Second stewardship agreement Ka Ulu A Paoa Heiau Complex within the Kē'ē Beach Park Preserve.
- 4. Transient Accommodations Unit law invalid: Lynn McCrory and Kaua'i Beach Villa successful. Federal Court Judge Kobayashi issued her decision invalidating both Ordinance No. 912 as well as the Charter Amendment to implement the General Plan. Significant because we anticipated legal challenges.
- 5. KPD Bearcat armored rescue vehicle purchased from a mainland company. Significant accomplishments because we were able to maneuver through legal hoops that were previously deemed improbable.

# V. Budget

Resources (General Fund)

	FY 2015	FY 2015
Expense Type	Appropriation	Actual
Equivalent Personnel (E/P)	15	15
Salaries and Wages	\$ 1,746,191	\$1,483,651
Operations	\$ 1,956,811	\$1,056,506
Equipment	\$ 0	\$ 0
Total	\$ 3,703,002	\$2,540,157

# VI. Statistics

Document Preparation, Review and Approval Statistics

	FY 2014 - 2015
Opinions Number of opinions	125
<u>Legal documents</u> (Proclamations, ordinances, rules, and resolutions)	91
<u>Contracts</u> (Materials, labor, supplies, equipment, consultant appraisal, services, and construction)	707

Conveyances (Dedication, warranty, quitclaim and correction deeds, easements, and miscellaneous agreements)				385
Miscellaneous documents (Revocable permits, waiver and indemnity agreements, permits, right-of-entry documents, releases, leases, concession agreements, charter of incorporation and by-laws, legislative bills, court pleadings, claims, rules)				3,167
Documents reviewed			4,475	
Documents approved as to form and legality			1,335	
Documents prepared			511	
<b>Court Matters</b>				
		Cases		
	Pending <u>07/01/14</u>	Filed 07/01/14 - 06/30/15	Closed	Pending 07/01/15
CASES FILED AGAINST COUNTY U.S. Supreme Court 9th Circuit Court of Appeals U.S. District Court U.S. Bankruptcy Court Hawaii Supreme Court Inter. Court of Appeals Circuit Court, 1st - 3rd Circuit Court - 5 <sup>th</sup> District Court	07/01/14	07/01/14 -	Closed  0 0 4 0 0 7 50 0	_

CASES FILED BY COUNTY				
U.S. Supreme Court	0	0	0	0
9th Circuit Court of Appeals	0	0	0	0
U.S. District Court	0	0	0	0
2 <sup>nd</sup> Judicial District Court,				
State of Nevada (County of Washoe)	1	0	0	1
U.S. Bankruptcy Court	0	0	0	0
Hawaii Supreme Court	0	0	0	0
Inter. Court of Appeals	1	0	0	1
Circuit Court, 1st - 3rd	0	0	0	0
Circuit Court - 5th	9	5	3	11
District Court	18	0	3	15
Tax Appeal Court	0	0	0	0
	Pending <u>07/01/14</u>	Cases Filed 07/01/14 - 06/30/15	Closed	Pending 07/01/15
CLAIMS AGAINST COUNTY	73	25	31	67
CLAIMS FILED BY COUNTY	3	1	0	4
WORKERS' COMPENSATION	2	0	1	1
<u>GRIEVANCES</u>	2	4	3	3
CIVIL SERVICE COMMISSION	2	0	2	0
HAWAI'I LABOR RELATIONS BD	13	6	2	17
<b>EEOC</b>	17	7	10	14
DLIR, EMPLOYMENT SECURITY APPEALS	2	1	1	2
<b>DCCA</b>	0	0	1	1
DEPARTMENT OF HEALTH	1	0	0	1
MISCELLANEOUS Land Use Commission & Public	7	2	0	9

**Utilities Commission** 

## VII. Holo Holo 2020 Projects & Status

The Mayor announced in 2010 his Holo Holo 2020 Project List. The Office of the County Attorney assisted the various departments and agencies with several Holo Holo projects by providing legal advice, review of procedures, review and drafting of legal documents which are as follows:

- Assisted with the review of procurement and contractual documentation of the design consultant for a Residential Adolescent Drug Treatment Center.
- Provided continuing advice and counsel on the Lima Ola 75 acre green affordable housing development.
- Provided continuing advice and counsel on the expansion of Hanalei Beach Park.
- Provided continuing advice and counsel on the County's various stewardship agreements.
- Provided continuing advice and counsel on the pilot closure of Eiwa Street.
- Provided continuing advice and counsel on the development of the new resource recovery park and landfill site.

#### VIII. Office Staff as of June 30, 2015

Mauna Kea Trask	County Attorney
Stephen F. Hall	First Deputy
Jodi Higuchi-Sayegusa	Deputy
Andrea A. Suzuki	
Nicholas R. Courson	Deputy
Adam P. Roversi	Deputy
Philip Dureza	Deputy
Matthew M. Bracken	
Vacant	Deputy
Vacant	Deputy
Teresa Tamura	
Barbara S. Montemayor	Law Office Manager
Allison A. Hiranaka	
Owen L. Tango	1 0 0
Dorothy G. Miller	
Michelle I. Vierra	