

COUNTY OF KAUA'I

ANNUAL ACTION PLAN 2021

Second Program Year

FOR PROGRAM YEAR JULY 1, 2021 THROUGH JUNE 30, 2022

May 2021

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Annual Action Plan
2021

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Executive Summary

AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

The County of Kauai (County) through the Kauai County Housing Agency (KCHA) is required to submit a Consolidated Plan to the U.S. Department of Housing and Urban Development (HUD) to receive federal Community Development Block Grant (CDBG) program funds. The purpose of the Consolidated Plan is to ensure that jurisdictions receiving federal assistance develop a plan on how to invest federal funds to address the needs of low- and moderate-income families.

The Consolidated Plan combines the planning and application requirements of HUD's Community Planning and Development (CPD) programs into a single plan. The five (5) formula programs covered by a Consolidated Plan include CDBG, HOME Investment Partnerships Program (HOME), Housing Trust Fund (HTF), Emergency Shelter Grants (ESG) Program, and Housing Opportunities for Persons with Aids (HOPWA).

The County is eligible for assistance under the Non-Entitlement Counties in Hawaii as a grantee for the CDBG program and receives funding directly from HUD. The overall goal of CDBG is to develop viable communities, principally for low- and moderate-income persons who are at or below eighty percent (80%) of HUD's income guidelines, by providing decent housing, a suitable living environment, and expanding economic opportunities.

The County is a State Recipient for the HOME and HTF programs and receives funding allocations as a pass-through from Hawaii Housing Finance and Development Corporation (HHFDC) on a rotation with the Big Island and Maui counties. The overall goal of HOME is to expand the supply of decent, safe, sanitary, and affordable housing for very low- and low-income households, at or below eighty percent (80%) of HUD's income guidelines. The HTF program provides affordable rental housing units serving households with incomes at or below thirty percent (30%) of the area median income.

ESG and HOPWA programs are administered by the State of Hawaii through the Homeless Programs Office (HPO). HPO provides ESG and HOPWA funding directly to recipient organizations that are approved by HPO to receive and expend these grants.

2. Summarize the objectives and outcomes identified in the Plan

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

The Consolidated Plan addresses three (3) principal areas of need: Housing and Special Needs Housing, Homeless, and Community Development needs. The Consolidated Plan fulfills four (4) major functions, to serve as:

- 1) A planning document for the County of Kauai, developed through a community needs assessment and consultation process;
- 2) An application for federal funds under HUD formula programs including CDBG, HOME, HTF, ESG and HOPWA;
- 3) A strategy to be followed when using HUD formula programs to address priorities in areas of housing, homelessness, and community development for low- and moderate-income families; and
- 4) A five-year Action Plan that provides HUD and the County with a basis for measuring progress and assessing performance.

Based on the intent when funding, an activity will have one of three (3) low-mod objectives:

Suitable Living Environment – activities are designed to benefit communities, families or individuals by addressing their living environment.

Decent Housing – activities are designed to cover housing programs to meet individual family or community needs.

Creating Economic Opportunities – applies to the types of activities related to economic development, commercial revitalization, or job creation.

Once an objective is selected for an activity, an outcome will be selected from three (3) categories:

Availability/Accessibility – this outcome category applies to activities that make services, infrastructure, public facilities, housing or shelter available or accessible to the low- and moderate-income population, including those with disabilities.

Affordability – this outcome category applies to activities that provide affordability to the low- and moderate-income population through creating, improving, or maintaining affordable housing, basic infrastructure, or services for transportation.

Sustainability – this outcome category applies to projects that are aimed at improving communities and neighborhoods by making them livable or viable for the low- and moderate-income population.

KCHA will focus its HTF activities on the production, preservation and rehabilitation of affordable rental housing and use funds to increase and preserve the supply of decent, safe and sanitary affordable rental housing, for primarily extremely low-income (30% AMI) households.

3. Evaluation of past performance

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

The County of Kauai Housing Agency has effectively administered the CDBG grant for more than three (3) decades and has been successful in implementing activities that meet the four (4) priority concerns by the Department of Housing and Urban Development (HUD): housing and special needs housing, homelessness, community development, and fair housing.

4. Summary of Citizen Participation Process and consultation process

Summary from citizen participation section of plan.

The County's Citizen Participation Plan (CPP) describes the policies and procedures for citizen participation in the administration of CPD programs. The plan seeks to involve citizen participation, especially among persons in lower income groups.

Last year, the Citizen Participation Plan was revised to describe the County's process and priorities relating to disaster and recovery for all federal Community Planning and Development programs: CDBG, CDBG-DR, CDBG-CV, HOME, and HTF.

5. Summary of public comments

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

In developing the Consolidated Plan and second year Annual Action Plan 2021, a series of virtual public hearings were held to solicit input on community development, affordable housing and homeless needs for the Plan. Public hearing notices were published in The Garden Island Newspaper and on the County's website. On November 18, 2020, KCHA held a virtual public hearing and informational webinar via Zoom to receive community input and provide information on CDBG grant eligibility and the application process.

On November 23, 2020, another community virtual hearing was held jointly with the State of Hawaii, Hawaii Housing Finance Development Corporation (HHFDC). In March 2021, KCHA held a virtual public hearing to receive comments on the draft Annual Action Plan and the proposed projects and goals for program year 2021.

Copies of the draft plans were made available for review at the Housing Agency and online at the County's website at www.kauai.gov.

6. Summary of comments or views not accepted and the reasons for not accepting them

Not applicable.

7. Summary

The County utilized its website, www.kauai.gov to publish notices to invite the public to participate in the needs and goals of the County. Virtual meetings and webinars were conducted to receive input and disseminate information on the prioritization of federal funds for housing and special housing needs, homeless services and facilities, public services, public facility improvements, and economic development for the community.

PR-05 Lead & Responsible Agencies – 91.200(b)

1. Agency/entity responsible for preparing/administering the Consolidated Plan

Describe the agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
CDBG Administrator	KAUAI COUNTY	Housing Agency
	KAUAI COUNTY	Housing Agency

Table 1 – Responsible Agencies

Narrative (optional)

The County is a grantee for the CDBG program and receives CDBG allocations directly from HUD. The County is a state recipient, or sub-grantee for the HOME and HTF program and receives allocations as a pass-through from the State of Hawaii. ESG and HOPWA programs are administered by the State of Hawaii through the Hawaii Public Housing Authority (HPHA).

Consolidated Plan Public Contact Information

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AP-10 Consultation – 91.100, 91.200(b), 91.215(I)

1. Introduction

KCHA has administrative responsibility for the CDBG program and the implementation of the five-year Consolidated Plan, Annual Action Plan, and Consolidated Annual Performance and Evaluation Report. KCHA works with a variety of public agencies and non-profit organizations to ensure that benefits from the CDBG program are delivered to the community. The County is also a designated State HOME and HTF Subgrantee. Funds are used to develop and preserve affordable rental housing serving extremely low-income (30% AMI) households.

Provide a concise summary of the jurisdiction’s activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(I))

To enhance coordination between public and assisted housing providers, the Housing Director participates in monthly meetings with other directors from U.S. Department of Agriculture (USDA), Hawaii Housing, Finance, & Development Corporation (HHFDC), Hawaii Public Housing Authority (HPHA), U.S. Department of Housing and Urban Development (HUD), U.S. Department of Hawaiian Home Lands (DHHL), Office of Hawaiian Affairs (OHA), Native American Housing Assistance and Self Determination Act (NAHASDA), City & County of Honolulu, Maui and Hawaii County housing divisions. The Housing Director also attends weekly meetings with department heads from Kauai County to coordinate with planning, economic development, aging, public safety, parks, public works, and other County officials. Other housing staff participate in meetings and serve on various committees involving: fair housing; Section 8 eviction hearings; Workforce Investment Board; and CDBG & HOME programs. CDBG staff partner and collaborate with various state health agencies and community organizations, such as: State of Hawaii Adult Mental Health, Vocational Rehabilitation, and Developmental Disabilities; Easter Seals of Hawaii, EPIC Ohana, Young Life Capernum, Malama Pono Health Services, Hoola Lahui, and more. Consultations are available on a year-round basis with diverse providers that service the low- to moderate-income populations on Kauai.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.

KCHA coordinates with the State of Hawaii, Department of Human Services (DHS) and Homeless Programs Office (HPO) who contractually requires all homeless provider agencies funded by state and/or federal resources to participate in their respective county's Continuum of Care (CoC) for collaboration and input into community planning efforts. These agencies provide outreach, emergency/transitional shelters, permanent supportive housing, rapid re-housing, homeless prevention, Housing First Program, and other support services. In addition to serving individuals and

families experiencing homelessness--including chronically and at-risk homeless, community agencies serve the following populations: chronic substance abuse; persons with HIV/AIDS; victims of domestic violence; veterans; and unaccompanied youth.

Bridging the Gap (BTG) is a geographically based group of relevant stakeholder representatives that carries out the planning responsibilities of CoC programs and the goal to end homelessness. BTG is the union of three (3) rural county chapters:

- 1) Kauai Community Alliance (Kauai Chapter of BTG)
- 2) Community Alliance Partners (Hawaii Island Chapter of BTG)
- 3) Maui Homeless Alliance (Maui Chapter of BTG)

Members of the local chapters collectively convene at least twice annually for general membership meetings. Because of the non-contiguous nature of each island, and more recently, efforts to practice social distancing, meetings are conducted via electronic means (webinar, video teleconference, teleconference, or other generally available technologies).

The SOH HPO convenes the statewide Continuum of Care meeting every other month. Members of the group include the chairperson of each local chapter and a respective county government representative. The statewide planning body collaborates on priorities, strategic planning and resources. The group also takes an expanded role of advising the state on funding priorities and legislative initiatives.

In accordance with the HEARTH Act, BTG works to use multiple resources to prevent homelessness or quickly rehouse homeless individuals and families while minimizing trauma and dislocation. BTG mandates collaboration among providers, promotes access to homeless assistance programs, encourages effective utilization of mainstream programs especially for special populations, and strives to optimize self-sufficiency among individuals and families experiencing homelessness or at-risk of homelessness.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS

BTG, elected to allocate the most recent ESG grant by apportioning forty percent (40%) to emergency shelter operations and sixty percent (60%) to homelessness prevention and rapid re-housing activities. This allocation formula reflects BTG's greater emphasis on rapid re-housing and helping people to quickly regain stability in permanent housing after experiencing a housing crisis and homelessness. Homelessness prevention and rapid re-housing activities include financial assistance for rent, security deposits, utilities, and housing relocation and stabilization services. BTG elected to allocate HPRP funds to one agency each in Maui, Kauai and Hawaii counties.

Neighbor island representatives continue to engage in planning, advocacy, and data committee activities in conjunction with Partners In Care (PIC), the O'ahu Continuum of Care. Additionally, BTG has adopted a formal HMIS Security and Privacy Plan and a Policies and Procedures Manual which include security policies that detail how data is secured in HMIS; data sharing policies that detail with whom data is shared and for what purposes; and user access to HMIS.

Data-driven performance standards are continuously being updated and refined to meet requirement standards. These enhancements will allow the CoCs to utilize outcome measures to determine funding levels for applicants. HPO is in the process of developing a means to transform state funding determinations to more concisely reflect performance standards and performance-based funding as HUD. While these systemic changes will take time to fully implement, the mechanisms to achieve and maintain progress have been executed.

To further promote collaboration among the CoC bodies, a portion of each Bridging the Gap meeting is set aside for the PIC chair and City and County of Honolulu representative to share information. This allows for discourse on legislative, advocacy, policy and data issues being addressed statewide. Additionally, many more neighbor island participants have requested placement on the PIC mailing list so that they can keep their agencies and their counties up-to-date, especially on state government issues during the legislative session. These efforts continue to build toward a greater sense of community across the state for homeless service providers, and thus, a more cohesive approach to our statewide infrastructure.

BTG also receives help from HUD with technical assistance with HMIS, performance measures, evaluation criteria, CoC operations, and guidance in creating policies and procedures.

2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction's consultations with housing, social service agencies and other entities

Table 2 – Agencies, groups, organizations who participated

1	Agency/Group/Organization	Kauai Housing Development Corporation
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a non-profit housing developer.
2	Agency/Group/Organization	DHS-DIVISION OF VOCATIONAL REHABILITATION
	Agency/Group/Organization Type	Services-Persons with Disabilities Other government - State
	What section of the Plan was addressed by Consultation?	Non-Homeless Special Needs Market Analysis
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a government/state agency serving people with disabilities.
3	Agency/Group/Organization	BOYS AND GIRLS CLUB OF HAWAII
	Agency/Group/Organization Type	Services-Children Services-Education Neighborhood Organization

	What section of the Plan was addressed by Consultation?	Public Housing Needs Homeless Needs - Families with children Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a service provider for youth and at-risk youth.
4	Agency/Group/Organization	The Mutual Housing Association of Hawaii
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a non-profit property developer and management organization.
5	Agency/Group/Organization	Kauai Habitat for Humanity
	Agency/Group/Organization Type	Housing Services - Housing

	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Homeless Needs - Families with children Homelessness Needs - Veterans Non-Homeless Special Needs Market Analysis
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a non-profit housing developer.
6	Agency/Group/Organization	THE KAUAI BUS
	Agency/Group/Organization Type	Services-Elderly Persons Services-Persons with Disabilities Other government - County Transportation
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Non-Homeless Special Needs Market Analysis
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a government entity providing island wide transportation.
7	Agency/Group/Organization	Hawaiian Community Assets, Inc.
	Agency/Group/Organization Type	Housing Services - Housing Services-Education Neighborhood, residents

	What section of the Plan was addressed by Consultation?	Housing Need Assessment Non-Homeless Special Needs Market Analysis
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a non-profit housing counseling agency and community lending organization.
8	Agency/Group/Organization	KAUAI COMMUNITY CORRECTIONAL CENTER
	Agency/Group/Organization Type	Housing Publicly Funded Institution/System of Care Other government - State
	What section of the Plan was addressed by Consultation?	Public Housing Needs Homeless Needs - Chronically homeless Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs Market Analysis
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a public safety facility and corrections institution.
9	Agency/Group/Organization	KAUAI FIRE DEPARTMENT
	Agency/Group/Organization Type	Other government - County Community First Responder

	What section of the Plan was addressed by Consultation?	Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs Market Analysis
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a government entity providing first response services to the community.
10	Agency/Group/Organization	KKCR KAUAI COMMUNITY RADIO
	Agency/Group/Organization Type	Non-profit community radio station
	What section of the Plan was addressed by Consultation?	Emergency/crisis information
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a non-profit community radio station.
11	Agency/Group/Organization	GARDEN ISLAND REALTY LLC
	Agency/Group/Organization Type	Housing Business Leaders
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Market Analysis
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a realty/housing business for the community.

12	Agency/Group/Organization	KAUAI COUNTY HOUSING AGENCY
	Agency/Group/Organization Type	Housing Services - Housing Service-Fair Housing Other government - County Planning organization Business and Civic Leaders
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs Market Analysis Economic Development Lead-based Paint Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a government housing development entity.
13	Agency/Group/Organization	AGENCY ON ELDERLY AFFAIRS
	Agency/Group/Organization Type	Services-Elderly Persons Other government - County
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Non-Homeless Special Needs

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a government entity serving seniors.
14	Agency/Group/Organization	KAUAI COUNTY COUNCIL
	Agency/Group/Organization Type	Other government - County Business and Civic Leaders
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Market Analysis Economic Development
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as civic leaders.
15	Agency/Group/Organization	Women In Need
	Agency/Group/Organization Type	Housing Services - Housing Services-Children Services-Victims of Domestic Violence Services-homeless Services - Victims
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a non-profit service and housing provider.
16	Agency/Group/Organization	STATE OF HAWAII DEPARTMENT OF EDUCATION
	Agency/Group/Organization Type	Services-Education Other government - State
	What section of the Plan was addressed by Consultation?	Homeless Needs - Families with children Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a government educational institution.
17	Agency/Group/Organization	KAUAI ANIMAL EDUCATION CENTER
	Agency/Group/Organization Type	Services-Children Services-Persons with Disabilities Services-Education
	What section of the Plan was addressed by Consultation?	Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a non-profit service provider for persons with disabilities.
18	Agency/Group/Organization	HOOMANA
	Agency/Group/Organization Type	Services-Persons with Disabilities Services-Education Business Leaders

	What section of the Plan was addressed by Consultation?	Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a non-profit service and training provider for youth and adults with disabilities
19	Agency/Group/Organization	CAMP AGAPE KAUAI
	Agency/Group/Organization Type	Services-Children
	What section of the Plan was addressed by Consultation?	Homeless Needs - Families with children Homelessness Needs - Unaccompanied youth
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a non-profit service provider serving children whose parents are incarcerated.
20	Agency/Group/Organization	ANDARTA DESIGNS
	Agency/Group/Organization Type	Business Leaders
	What section of the Plan was addressed by Consultation?	Non-Homeless Special Needs Economic Development
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a for-profit business owner.
21	Agency/Group/Organization	KAUAI MISSIONARY CHURCHES PRISON MINISTRY
	Agency/Group/Organization Type	Services - Housing Services-homeless Civic Leaders

	What section of the Plan was addressed by Consultation?	Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a faith-based entity providing support services for families of incarcerated individuals.
22	Agency/Group/Organization	KAPAA HIGH SCHOOL
	Agency/Group/Organization Type	Services-Education Other government - State
	What section of the Plan was addressed by Consultation?	Homeless Needs - Families with children Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a government educational institution.
23	Agency/Group/Organization	LIFE'S CHOICES OF KAUAI, COUNTY OF KAUAI
	Agency/Group/Organization Type	Housing Services - Housing Services-Children Services-homeless Services-Education Other government - County

	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a government entity providing substance abuse prevention education, services, and treatment.
24	Agency/Group/Organization	MCKENNA RECOVERY CENTER
	Agency/Group/Organization Type	Services-Health
	What section of the Plan was addressed by Consultation?	Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a recovery treatment center.
25	Agency/Group/Organization	CATHOLIC CHARITIES HAWAII-KAUAI COMMUNITY OFFICE
	Agency/Group/Organization Type	Housing Services - Housing Services-Elderly Persons Services-homeless
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a Continuum of Care member.
26	Agency/Group/Organization	KAUAI DRUG COURT
	Agency/Group/Organization Type	Other government - State
	What section of the Plan was addressed by Consultation?	Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as judicial program providing alternatives to incarceration.
27	Agency/Group/Organization	FRIENDS OF THE KAUAI DRUG COURT
	Agency/Group/Organization Type	Support Services
	What section of the Plan was addressed by Consultation?	Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a non-profit support for Kauai Drug Court and Veterans Court serving at-risk youth and veterans.
28	Agency/Group/Organization	EPIC OHANA, INC.
	Agency/Group/Organization Type	Services-Children Foster Care for Youth
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Needs - Unaccompanied youth Homelessness Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a non-profit service provider for foster care youth, at-risk youth and families involved with child welfare.

29	Agency/Group/Organization	Hawaii Public Housing Authority
	Agency/Group/Organization Type	Housing PHA Other government - State
	What section of the Plan was addressed by Consultation?	Public Housing Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a government public housing agency.
30	Agency/Group/Organization	HALE OPIO KAUAI, INC.
	Agency/Group/Organization Type	Housing Services - Housing Services-Children Services-Education Youth
	What section of the Plan was addressed by Consultation?	Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a non-profit service provider for youth and their families.
31	Agency/Group/Organization	HAWAII COMMUNITY LENDING
	Agency/Group/Organization Type	Housing Community Development Financial Institution

	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs HOPWA Strategy Market Analysis Homebuyers
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a certified Community Development Financial Institution.
32	Agency/Group/Organization	KAPAA MISSIONARY CHURCH
	Agency/Group/Organization Type	Housing Services - Housing Services-Children Services-Elderly Persons Services-homeless Faith-based
	What section of the Plan was addressed by Consultation?	Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a neighborhood faith-based entity serving the community.
33	Agency/Group/Organization	LEGAL AID SOCIETY OF HAWAII - KAUAI BRANCH
	Agency/Group/Organization Type	Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-Victims of Domestic Violence Services-homeless Service-Fair Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a non-profit providing legal services.

34	Agency/Group/Organization	KAUAI ECONOMIC OPPORTUNITY, INC.
	Agency/Group/Organization Type	Housing Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-homeless Services-Education Services-Employment
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a non-profit social service provider and homeless shelter operator.
35	Agency/Group/Organization	UNIVERSITY OF HAWAII ECONOMIC RESEARCH ORGANIZATION
	Agency/Group/Organization Type	Planning organization
	What section of the Plan was addressed by Consultation?	Fair Housing
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Consultation commissioned by the County to provide an Analysis of Impediments to Fair Housing Report in order to identify the County's fair housing issues and provide recommendations to help the Housing Agency further the goals of promoting equal opportunity.

Identify any Agency Types not consulted and provide rationale for not consulting

Other local/regional/state/federal planning efforts considered when preparing the Plan

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	Refer to State of Hawaii Consolidated Plan	

Table 3 – Other local / regional / federal planning efforts

Narrative (optional)

AP-12 Participation – 91.105, 91.200(c)

1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting

As a result of the COVID-19 health pandemic, changes were made to the methods of communication with the public to stay safe by implementing and maintaining social distancing guidelines, requirements, and recommendations from federal, state and county officials. In accordance to the state’s Supplementary Emergency Proclamation dated March 16, 2020, issued by Governor David Y. Ige for social distancing practices and applicable federal CPD waivers made available to requirements through the Coronavirus Aid, Relief, and Economic Security Act (CARES Act), Public Law 116-136, the County held virtual public meetings, teleconferencing and audio conferencing, and conducted CDBG informational webinars in coordination with the State of Hawaii, Hawai’i Housing Finance Development Corporation (HHFDC). The County made virtual meetings accessible via closed captioning. Public notices were published in the local newspaper and the County’s website which provided a way to disseminate information to the public and receive comments. Citizen participation and input was received via surveys, consultations and/or collaboration and was included in the development of the Consolidated Plan and the County’s goals.

Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
1	Newspaper Ad	Non-targeted/broad community	Legal notices were published in the Garden Island Newspaper to announce dates, times, registration and connectivity information for public hearings, meetings, and workshops; request participation to review and comment on draft AAP 2021; provided email and telephone contact information to submit written comments, call for questions and request accessibility.	None received.	Not applicable.	https://www.kauai.gov/Housing

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
2	Internet Outreach	Non-targeted/broad community	Announcements and information on all public hearings, meetings, teleconferences, audio conferences, webinars, grant application and forms, tutorial videos, and other Housing Agency programs are posted on the County's website.	None received.	Not applicable.	https://www.kauai.gov/Housing

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
3	Public Hearing	Non-targeted/broad community	On 11/18/21 KCHA held a virtual hearing and another was held on 11/23/20 in coordination with the State of Hawaii, HHFDC to develop the draft Annual Action Plan and receive input and comments; a CDBG informational webinar was held after each meeting which provided information on the grant opportunity, application instructions, deadlines, and Q&A.	Attendees appreciated the informational webinar; viewed the recorded session online; appreciated the video tutorials and resources available on the website.	Not applicable.	https://www.kauai.gov/Housing

Table 4 – Citizen Participation Outreach

Expected Resources

AP-15 Expected Resources – 91.220(c)(1,2)

Introduction

Based on prior years' annual allocation from HUD, CDBG anticipates receiving approximately \$3,500,000.00 in grant funds over the next five (5) years, or about \$700,000.00 annually. Funds will be used for County projects, County initiatives, and eligible community development activities under the categories: public services, acquisition, economic development, housing, public facilities and improvements, and administration. CDBG funds will serve individuals and households whose income are at or below eighty percent (80%) of HUD's income limits to include presumed low-income populations and low-mod areas in accordance with HUD's definition and data sets, respectively.

For PY 2021, the County will receive \$712,663.00 in CDBG grant funds. The County does not expect to receive CDBG program income during the AAP 2021. The County will use \$498,477.00 in unexpended grant funds from a canceled PY19 and PY20 project. The amount noted in "Prior Year Resources" reflect the current combined balances from PY 2020 CDBG revolving loan fund activities, Homebuyer Loan Program, and Home Purchase Program. Home sales, ground lease fees and loan repayments generated from housing programs will be receipted to the designated revolving fund.

As a state sub-grantee, Kauai County is not in the rotation cycle to receive HOME or HTF funds from HHFDC in this AAP.

DISASTER RESPONSE and RECOVERY

The County makes disaster response and recovery priority for all Community Planning and Development programs funded by HUD, to include: CDBG, CDBG-CV, CDBG-DR, HOME and HTF programs. CPD funds may be redirected or reprogrammed. To meet the immediate needs of the community in the event of a natural disaster, local government emergency proclamation or presidentially declared national emergency, the County will identify the projects and agencies, organizations and/or County departments to carry-out the activities in collaboration with community partners, stakeholders, health and emergency management, and the County's administration.

The County will serve and assist, but not limited to, extremely low to low- to moderate-income individuals to include HUD's definition of

presumed low-income and vulnerable populations. In accordance with project eligibility and federal program requirements, the following potential response and recovery categories/activities may be funded:

- Public Services - short-term emergency subsistence payments on behalf of LMI, food security programs, public safety services, relocation, and other services for seniors, homeless, disabled, youth, substance abuse, victims of domestic violence, and more.
- Housing - emergency shelters, homeless facilities, transitional housing
- Economic Development - retain or create new jobs – microenterprise
- Public Facilities and Infrastructure Improvements - interim assistance, clearance demolition, child/youth/senior centers, centers for persons with a disability, health facilities, neighborhood centers, parks, and recreation facilities
- Cleanup, debris removal, trash removal, water removal (pumping), shoring drainage, demolition, remediating environmental contaminations

and other disaster related activities that will expeditiously contribute to the community recovery.

Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	712,663	0	998,477	1,711,140	0	Prior Year Resources reflects the amount available from the CDBG revolving loan fund balances from the Homebuyer Loan Program and Home Purchase Program (approx \$500,000) and unexpended grant funds from PY19 and PY20 (\$498,477).

Table 5 - Expected Resources – Priority Table

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

Many community non-profit organizations leverage CDBG funds with other private and non-federal public sources. The leveraging of resources and anticipated amounts are identified with the description of CDBG activities that will take place during the program year. Other sources of funds that are potentially available to support the objectives of an Annual Action Plan may include: Low-Income Housing Tax Credits, Section 8 Housing Choice Vouchers, Economic Development Initiative, U.S. Department of Agriculture Rural Development programs, private foundations, state CIP funds, and County funds.

If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

To meet the housing needs of Kauai County, there are various public housing for elderly and families developed with funding or support from federal, state or County resources. The following is a list of County-owned or leased land or property that could meet housing needs identified in the plan:

- 1) Kalepa Village I, II, III, IV - existing; 180 affordable rental units.
- 2) Paanau Village I & II - existing; 110 affordable rental units.
- 3) Kanikoo (Rice Camp Senior Housing) - existing; 90-unit affordable rental complex for seniors 62 years or older; for households not greater than 60 percent of the area median income limits.
- 4) Lima Ola - 75 acres for workforce housing development; could potentially develop 550 affordable rental and homeownership opportunities of various units types to include single-family detached units, duplexes and small-lot units intended for aging in place; master plan has been completed; onsite infrastructure of Phase I residential lots began in December 2019.
- 5) Koaie Makana - existing; 134 workforce housing rental units; completed in September 2020; full lease up.
- 6) Pua Loke - 1.5 acres; 40-50 affordable multi-family rental units; currently in pre-development.
- 7) Waimea Huakai Apartments – in partnership with the Ahe Group and Kauai Habitat for Humanity; 35 multi-family rental 1,2 and 3 bedroom units for households at or below 60 percent of Kauai median income, construction completed October 2020, full lease up December 2020.

Annual Goals and Objectives

AP-20 Annual Goals and Objectives

Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	PF-1	2020	2025	Non-Housing Community Development	Island of Kauai	Community Development Needs - Public Facilities	CDBG: \$746,444	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 2743 Persons Assisted
2	PF-2	2020	2025	Non-Housing Community Development		Community Development Needs - Public Facilities	CDBG: \$322,164	Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit: 67 Households Assisted
3	HO-1	2015	2020	Affordable Housing	Island of Kauai	Housing and Special Needs Housing - Homeowner	CDBG: \$500,000	Homeowner Housing Added: 1 Household Housing Unit Direct Financial Assistance to Homebuyers: 1 Households Assisted
4	FH-1	2015	2020	Non-Housing Community Development	Island of Kauai	Community Development Needs - Fair Housing Needs	CDBG: \$2,000	Other: 60 Other
5	A-1	2015	2020	Administration	Island of Kauai	Community Development Needs - Planning and Admin	CDBG: \$142,532	Other: 1 Other

Table 6 – Goals Summary

Goal Descriptions

1	Goal Name	PF-1
	Goal Description	CFSRP will use funds to rehabilitate and make ADA improvements to the family center to enhance and expand the facility. DOPR will use funds for construction to expand recreational opportunities and provide ADA access for a LMA park.
2	Goal Name	PF-2
	Goal Description	WIN will use funds to purchase and install a photovoltaic system for units at the transitional housing project.
3	Goal Name	HO-1
	Goal Description	The County will use "Prior Year Resources" from the Housing Agency's revolving loan fund activities, Homebuyer Loan Program and Home Purchase Program. The County will make a request to HUD to reprogram or carry over the prior year resources, to each designated PY 2021 activity. Additionally, all other home sales, ground lease fees and loan repayments generated from County Housing programs will be receipted to the designated activities' revolving fund.
4	Goal Name	FH-1
	Goal Description	To conduct outreach, educate, coordinate, and train the public--Section 8 participants, landlords, tenants, and property management staff in order to increase knowledge and awareness of federal and state fair housing laws.
5	Goal Name	A-1
	Goal Description	Will provide CDBG and CDBG-CV program management functions to include: administration, coordination, monitoring program activities for progress and compliance, prepare reports, develop agreements with subrecipients, conduct evaluation, training and oversight.

Projects

AP-35 Projects – 91.220(d)

Introduction:

For the program year 2021, the County proposes to fund three (3) public facility projects. The County's Homebuyer Loan and Home Purchase revolving loan fund programs are continuing, and the County has identified one alternate public service project.

Projects

#	Project Name
1	Hale Ho'omalua Family Center Expansion Renovations Project (Ph. 1)
2	Kealaula at Pua Loke Photovoltaic Project
3	Laukona Park Improvements
4	KCHA Homebuyer Loan Program RLF
5	KCHA Home Purchase Program RLF
6	(ALTERNATE) Homeownership Education and Counseling
7	General Administration

Table 7 - Project Information

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

The County does not use a formula for allocating CDBG funds for community development projects. Instead, funds are awarded through a competitive application process which scores and ranks proposed activities for eligibility, concept, project readiness, experience and qualifications, and priorities. Final outcomes for project selection and funding levels are subject to the approval of the mayor and fund appropriation by the County Council.

The County of Kauai's annual CDBG allocation from HUD varies from year to year based on federal legislative budget appropriations. Funding cuts pose obstacles to addressing underserved needs. The County must meet timeliness statutory regulations each year to be eligible for CDBG funding. Annual requests for public service funding far exceed the fifteen percent (15%) cap. Organizations are forced to decrease the intended number of participants to serve.

Last year, under the CARES Act, the County received CDBG-CV grant funds to be used to prevent, prepare for, and respond to the coronavirus (COVID-19). The County reprogrammed and added CDBG PY19 unexpended grant funds to be used to facilitate assistance to eligible communities, households, and persons economically impacted by COVID-19. However, one COVID-19 related project was unable to proceed as anticipated. For the AAP PY21, the County will add all unexpended funds from prior years

to the current year's allocation award to meet the needs and priorities identified in the Consolidated Plan.

AP-38 Project Summary
Project Summary Information

1	Project Name	Hale Ho'omalulu Family Center Expansion Renovations Project (Ph. 1)
	Target Area	Island of Kauai
	Goals Supported	PF-1
	Needs Addressed	Community Development Needs - Public Facilities
	Funding	CDBG: \$176,760
	Description	Hale Ho'omalulu Family Center Expansion Renovations Project (Ph. 1) (\$176,760.00), CFS Real Property, Inc. will rehabilitate and make ADA improvements to enhance and expand the facility that supports family programs, services and community activities that directly benefit low- to moderate-income individuals, households and families. The activity is eligible under 24 CFR 570.201(c), matrix 03. Additionally, this activity is eligible under 24 CFR 570.208(a)(2)(B), limited clientele or LMC. CFSRP will obtain data to determine total family size and income utilizing the County's policy on the Use of Self-Certification to verify that the percentage of low- to moderate-income beneficiaries are at least 51%. This is an existing service with a quantifiable increase in level of service. Other leveraged funds: \$0
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	850 LMC
	Location Description	4-1112 Kuhio Hwy, Kapaa, HI 96746
	Planned Activities	Hale Ho'omalulu Family Center Expansion Renovations Project (Ph. 1) - CFR Real Property, Inc. will rehabilitate and make ADA improvements to enhance and expand the facility that supports family programs, services and community activities that directly benefit low- to moderate-income individuals, households and families.
2	Project Name	Kealaula at Pua Loke Photovoltaic Project
	Target Area	Island of Kauai
	Goals Supported	PF-2
	Needs Addressed	Community Development Needs - Public Facilities
	Funding	CDBG: \$322,164

	Description	Kealaula at Pua Loke Photovoltaic Project (\$322,164), Women in Need will purchase and install a photovoltaic system to improve energy efficiency and decrease utility costs for the rental housing project for families transitioning out of homelessness. This activity is eligible under 24 CFR 570.201(c), matrix 03C. Additionally, this activity meets the national objective under 23 CFR 570.208(a)(2)(A) limited clientele, presumed benefit. WIN will certify participants to meet eligibility requirements under the presumed benefit, homeless. Other leveraged funds: \$0
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	67 LMC, presumed benefit: homeless
	Location Description	4386 Pua Loke Street, Lihue, HI 96766
	Planned Activities	Kealaula at Pua Loke Photovoltaic Project - Women in Need - will purchase and install a photovoltaic system to improve energy efficiency and decrease utility costs for the rental housing project for families transitioning out of homelessness.
3	Project Name	Laukona Park Improvements
	Target Area	Island of Kauai
	Goals Supported	PF-1
	Needs Addressed	Community Development Needs - Public Facilities
	Funding	CDBG: \$569,684
	Description	Laukona Park Improvements (\$569,684), Department of Parks and Recreation will expand recreational opportunities at the park and provide access in compliance with Americans with Disabilities Act (ADA) for the residents of a low- to moderate-income neighborhood. The activity is eligible under 24 CFR 570.201(c), matrix 03F. Additionally, this activity meets the CDBG national objective under 24 CFR 570.208(a)(1), area benefit, LMA. Other leveraged funds: \$0
	Target Date	6/30/2022

	Estimate the number and type of families that will benefit from the proposed activities	1893 LMA
	Location Description	Corner Paka Drive/Laukona Street, Lihue, HI 96766
	Planned Activities	Laukona Park Improvements - Department of Parks and Recreation will expand recreational opportunities at the park and provide access in compliance with Americans with Disabilities Act (ADA) for the residents of a low- to moderate-income neighborhood.
4	Project Name	KCHA Homebuyer Loan Program RLF
	Target Area	Island of Kauai
	Goals Supported	HO-1
	Needs Addressed	Housing and Special Needs Housing - Homeowner
	Funding	CDBG: \$250,000
	Description	Kauai County Housing Agency Homebuyer Loan Program RLF (\$250,000) will dedicate its revolving loan funds to continue its mortgage financing for low-cost primary or gap loans for first-time homebuyers. The activity is eligible under 24 CFR 570.201(n), matrix code 13B. Additionally, the activity meets CDBG national objective under 24 CFR 570.208(a)(3), low- to moderate-income households or LMH. KCHA will obtain data to determine total household size and income utilizing the agency's Verification of Income and Asset Policy and HUD's Part 5 definition of annual income in order to verify that the income is at or below 80% of HUD's income limits for Kauai County. Other leveraged funds: \$0
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	1 LMH
	Location Description	4444 Rice Street, Suite 330, Lihue, HI 96766
	Planned Activities	Kauai County Housing Agency Homebuyer Loan Program RLF - will dedicate its revolving loan funds to continue its mortgage financing for low-cost primary or gap loans for first-time homebuyers.
	Project Name	KCHA Home Purchase Program RLF

5	Target Area	Island of Kauai
	Goals Supported	HO-1
	Needs Addressed	Housing and Special Needs Housing - Homeowner
	Funding	CDBG: \$250,000
	Description	Kauai County Housing Agency Home Purchase Program (\$250,000) will use dedicated revolving loan funds to continue its program that purchases affordably priced homes on Kauai to resell to eligible, first-time, low- to moderate-income families by way of the County's 90-year Ground Lease Program. The activity is eligible under 24 CFR 570.201(n), matrix code 13B. Additionally, the activity meets CDBG national objective under 24 CFR 570.208(a)(3), low- to moderate-income households or LMH. KCHA will obtain data to determine total household size and annual income by utilizing the agency's Verification of Income and Asset Policy and HUD's Part 5 definition of annual income in order to verify that income is at or below 80% of HUD's Income Limits for Kauai County. Other leveraged funds: \$0
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	1 LMH
	Location Description	4444 Rice Street, Suite 330, Lihue, HI 96766
	Planned Activities	Kauai County Housing Agency Home Purchase Program - will use dedicated revolving loan funds to continue its program that purchases affordably priced homes on Kauai to resell to eligible, first-time, low- to moderate-income families by way of the County's 90-year Ground Lease Program.
6	Project Name	(ALTERNATE) Homeownership Education and Counseling
	Target Area	Island of Kauai
	Goals Supported	
	Needs Addressed	Community Development Needs - Public Services
	Funding	:

	Description	(ALTERNATE) Homeownership Education & Counseling (\$26,000), Hawaiian Community Assets, Inc. will use funds to provide HUD-certified homebuyer education, counseling, and foreclosure prevention workshops to low- to moderate-income individuals. The activity is eligible under 24 CFR 570.201(e), matrix code 05U. Additionally, this activity meets the CDBG national objective under 24 CFR 570.208(a)(2), Limited Clientele or LMC. Hawaiian Community Assets will obtain data to determine total family size and income utilizing the County's policy on the Use of Self-Certification to verify that the percentage of low-to moderate-income beneficiaries are at least 51%.
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	4523 Ioane Road, Anahola, HI 96703
	Planned Activities	(ALTERNATE) Homeownership Education & Counseling, Hawaiian Community Assets, Inc. will use funds to provide HUD-certified homebuyer education, counseling, and foreclosure prevention workshops to low- to moderate-income individuals.
7	Project Name	General Administration
	Target Area	Island of Kauai
	Goals Supported	FH-1 A-1
	Needs Addressed	Community Development Needs - Fair Housing Needs Community Development Needs - Planning and Admin
	Funding	CDBG: \$142,532
	Description	Kauai County Housing Agency will use funds (\$142,532) to provide program management that includes: administration, coordination, training, project implementation, compliance monitoring, evaluation, record-keeping and reporting. This activity is eligible under 24 CFR 570.206, matrix code 21A; (FH-1, \$2,000) will be used for fair housing activities to conduct outreach, educate, coordinate and train the public: Section 8 participants, landlords, tenants, and property management staff in order to increase knowledge and awareness of federal and state fair housing laws. Other leveraged funds: \$0.

Target Date	6/30/2022
Estimate the number and type of families that will benefit from the proposed activities	60 individuals and 1 admin
Location Description	4444 Rice Street, Suite 330, Lihue, HI 96766
Planned Activities	Kauai County Housing Agency - will use funds to provide program management that includes: administration, coordination, training, project implementation, compliance monitoring, evaluation, record-keeping and reporting. Additionally, funds will be used for fair housing activities to conduct outreach, educate, coordinate and train the public: Section 8 participants, landlords, tenants, and property management staff in order to increase knowledge and awareness of federal and state fair housing laws.

AP-50 Geographic Distribution – 91.220(f)

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

The County plans to utilize CDBG funds that provide direct benefits to various locations and area-wide benefits for geographic areas of the island designated as low/mod areas. Using the American Community Survey 2015 LMISD - All block groups based on 2011-2015 ACS dataset, the County will qualify low/mod areas where 51% have income at or below 80% of HUD's limits.

Geographic Distribution

Target Area	Percentage of Funds
Island of Kauai	100

Table 8 - Geographic Distribution

Rationale for the priorities for allocating investments geographically

The entire island of Kauai will be considered for allocating CDBG funds based on eligibility and meeting the national objective. The County does not use a formula for allocating CDBG funds for community development projects. Instead, funds are awarded through a competitive application process which scores and ranks proposed activities for eligibility, concept, project readiness, experience and qualifications, and priorities. Final outcomes for project selection and funding levels are subject to the approval of the mayor and fund appropriation of the County Council.

Affordable Housing

AP-55 Affordable Housing – 91.220(g)

Introduction

Table 59:

For the one-year AAP Program Year 2021, CDBG funding will support:

- sixty-seven (67) homeless and transitional housing individuals and families - installation of PV to lower costs at the transitional housing project;
- eight hundred fifty (850) low-to -moderate-income individuals and families through rehabilitation and ADA improvements of a family center; and
- one thousand eight hundred ninety-three (1893) people in a low-mod area through a park improvement project.

One Year Goals for the Number of Households to be Supported	
Homeless	67
Non-Homeless	1,893
Special-Needs	0
Total	1,960

Table 9 - One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households Supported Through	
Rental Assistance	0
The Production of New Units	0
Rehab of Existing Units	0
Acquisition of Existing Units	1
Total	1

Table 10 - One Year Goals for Affordable Housing by Support Type

Table 10:

Acquisition of Existing Units

Kauai County will continue to administer programs that are sustainable through revolving funds: Homebuyer Loan Program and Home Purchase Program. For PY21, CDBG funds will acquire one existing unit/home, rehabilitate if needed, and sell to an eligible, qualified household via the County's Leasehold Program.

AP-60 Public Housing – 91.220(h)

Actions planned during the next year to address the needs to public housing

Refer to the State of Hawaii Annual Action Plan.

Actions to encourage public housing residents to become more involved in management and participate in homeownership

The Housing Agency will continue its efforts to outreach and provide information on current housing programs that: 1) offer low-cost, low-interest loans to eligible first-time homebuyers; 2) provide homebuyer education and counseling classes; 3) provide opportunities for first-time buyers to purchase their own home via the County's Leasehold Program; and 4) collaborate with County staff from the Family Self-Sufficiency Program.

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

Not applicable.

AP-65 Homeless and Other Special Needs Activities – 91.220(i)

Introduction

Kauai Island, due to its ability to work cohesively with other agencies, has the capacity to leverage their resources and extend their services to specific client needs. This enables social service agencies to assist clients with individual needs in their area of expertise, while referring them to other agencies to assist with other needs that may require special attention, and are able to receive assistance through another agency. Special needs services are tailored to clients and therefore, agencies work together to ensure each client receives the necessary services.

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The State Homeless Outreach Program provides comprehensive geographic coverage of the state's four counties: Oahu, Maui, Kauai, and Hawaii. Outreach agencies are contracted by the state seek out unsheltered homeless on beaches, in parks, on the streets, and other places where the homeless population congregates. The unsheltered homeless and at-risk population who might not otherwise receive assistance is provided with basic intake and assessment to identify their individual needs and barriers. The Outreach Program facilitates progress toward a healthier, more stable living condition with the ultimate goal of attaining and retaining permanent housing through self-sufficiency. The agencies are required to:

- Provide outreach and engagement with the unsheltered.
- Address and provide access to basic survival needs, including without limitation: food and/or shelter; medical attention; clothing; supportive services; access to financial and medical benefits; and legal assistance.
- Provide representative payee program services to help the seriously mentally ill to manage their finances.
- Encourage and form open relationships and trust with participants.
- Help participants to implement solutions to address barriers and initiating the transition to permanent housing and self-sufficiency by linking homeless persons with appropriate community services.
- Complete a Vulnerability Index-Service Prioritization Decision Assistance Tool (VI-SPDAT) on each homeless individuals and/or families encountered. Neighbor island providers, through Bridging the Gap, have been kept informed and started using the coordinated assessment process.

In September 2020, to mitigate the impact of COVID-19, the County allocated the third round of CDBG

Coronavirus, or CDBG-CV funds to Project HiEHiE Kauai to bring free hot showers via mobile hygiene unit and to provide health and vital support services to low- to moderate-income individuals and families and those experiencing homelessness.

Addressing the emergency shelter and transitional housing needs of homeless persons

Kealaula at Pua Loke Photovoltaic Project will improve energy efficiency and decrease utility costs at the newly constructed rental housing project for families transitioning out of homelessness.

KEO Emergency Shelter and Support Services Rehabilitation and Improvements (PY20) will upgrade the current septic tank and connect to the County's wastewater system. This improvement is expected to be completed in this program year and will allow future increase in beds provided nightly at the shelter.

The State Homeless Program funds emergency and transitional shelters with the intent to achieve broad geographic accessibility through the state. Hawaii's shelters provide a secure environment where individuals and families can stabilize their lives, address their needs and strengthen their economic situation. These facilities provide a broad spectrum of services to address the multiple needs of homeless clients, including substance abuse, mental health and educational services; job and life skills training; and family support.

The state's program requires that all shelters provide access to basic needs, such as a safe and decent place to sleep, prepared meals and/or food/kitchen facilities, and hygiene essentials.

Each shelter performs an intake and assessment on each client that identifies the individual's areas of need. These are then incorporated into a social service plan that focuses on the participant's individual goals, achievement activities, and timeline for completion. The shelters also provide referrals and on-site classes or services to further assist clients in realizing their goals.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

Support services are adequately in place to provide guidance and resources for individuals and families transitioning from shelter or transitional housing to permanent housing, or independent living for homeless individuals and their families. Agencies assist with completing applications, rental deposit assistance and financial assistance when available. Agencies also provide job skills training to prepare them for employment, transportation to interviews and bus passes (when available) to help sustain

employment.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.

The State Homeless Programs Division works diligently to seek and provide funds to assist with prevention of homelessness. The Housing First model provides housing as a first step, without preconditions. Once off the street, services are provided and recovery begins. The model is created to assist individuals or families with support and/or social services to sustain and thrive in their new living environment and thus becoming productive members of their communities.

AP-75 Barriers to affordable housing – 91.220(j)

Introduction:

In the context of building homes that are affordable, many government regulations are in place to ensure health and safety and to protect natural resources. However, government regulations can work against developing affordable housing. The most common types of regulation that affect housing are building codes, environmental regulations, zoning, land use, impact fees and exactions, fiscal policy and administrative practices. The negative effects from regulation are delays and additional costs to develop. In developing affordable housing, this can directly impact project feasibility.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

Tax Policy -- No actions or reform steps proposed.

Land Use Controls -- The Housing Agency will support efforts that streamline the planning, zoning and permitting process through the administration of the County's 201-H policy and processing of development exemptions for eligible applicants that develop at least 51% of the total project units as affordable housing.

Affordable Housing Task Force – The Housing Agency will continue to assist private developers through it's the Affordable Housing Task Force. The Task Force consists of representative from County line review agencies and provides a private developer the opportunity to dialogue and receive feedback to help streamline project review time.

Expedited Permitting – The Housing Agency, under authority of the Mayor, will continue to recommend expedited permitting for affordable housing projects. Projects so designated will be given preferential permitting by review agencies.

Fee and Charges -- The Housing Agency will continue to administer requests for waivers of fees and charges allowed for affordable housing pursuant to Kauai County Code for building permits, sewer connection fees, environmental impact assessment fees, etc.

Growth Limits -- The Housing Agency will continue to support the development of comprehensive planning for the island's communities consistent with the General Plan update and endorse planning principles that integrate reasonable growth principles.

Policies that Affect the Return on Residential Investment -- The County will impose deed restrictions on the transfer of dwelling units according to the minimum requirements of Ordinance No. 860. This deed

restriction requires owner occupancy of the units. If the owner wishes to sell their unit within a buyback period, the unit must be first offered to the County at a price determined by a prescribed formula.

AP-85 Other Actions – 91.220(k)

Introduction:

Promoting activities within the County brings awareness and education to the public on relevant issues on fair housing. Under the Annual Action Plan 2021, the County will continue to take actions to address obstacles to meet underserved needs, foster and maintain housing, and remove barriers to affordable housing.

Actions planned to address obstacles to meeting underserved needs

To address obstacles, the County will undertake the following actions:

- Leverage federal resources (e.g. Low Income Housing Tax Credits) to increase the supply of rental housing for underserved lower income populations.
- Invest federal resources to maintain the supply of rental housing for the underserved lower income populations.
- Invest federal resources (e.g. HOME and HTF) to produce low-cost rental units for transitional programs for a segment of the island's population preparing for a transition to permanent housing.
- Utilize the County's Fast Track Permitting for Workforce Housing Projects that speed the process in order to accelerate development for new affordable housing.
- Support applications that seek funding by non-profit organizations from other federal sources that are consistent with Consolidated Plan objectives and priorities.

Actions planned to foster and maintain affordable housing

- Provide CDBG loan assistance through Home Buyer Loan Program to provide low-cost mortgage loans to low- and moderate-income households.
- Provide CDBG funds to purchase and/or rehabilitate homes to resell, via the County's leasehold program, to low-and moderate-income households.
- Operate and maintain County's inventory of 160 affordable rental units primarily for low-income households at affordable and stable rents.

Actions planned to reduce lead-based paint hazards

The County will continue to address lead-based paint to:

- Comply with federal guidelines under 24 CFR 35 for testing and abating lead-based paint hazards in projects receiving federal assistance;
- Require owner participants in the Section 8 Rental Assistance Program to certify that they will advise the County and tenant-family of any lead-based paint on surface of homes used to

- participate in the program;
- Provide each tenant participant in the Section 8 Rental Assistance Program, Home Buyer Loan Program and Home Purchase Program with a copy of the EPA Bulletin, "Protect Your Family From Lead in Your Home"; and
 - Abate lead-based paint on structures undergoing major renovation with financing through government programs, such as HOME and CDBG, and provide technical assistance in the abatement design and construction process.

Actions planned to reduce the number of poverty-level families

The County will continue to operate a Family Self-Sufficiency (FSS) Program that assists Section 8 Housing Choice Voucher clients develop skills, abilities, and self-confidence needed to find and maintain jobs which pay adequate wages to minimize a need for public assistance.

The County will support services designed towards achieving self-sufficiency:

- Provide funds to non-profit organizations with social services that will strengthen families;
- Make funds available to organizations that provide protective services for children and adults; and
- Provide funds to organizations for job training and placement services to help individuals become financially self-sufficient.

Actions planned to develop institutional structure

The County will utilize the Fast Track Permitting for Workforce Housing policy in order to speed the permitting process on projects with at least 51% affordable housing units.

Actions planned to enhance coordination between public and private housing and social service agencies

The County will continue to provide public meetings in which citizens, agencies and interested parties are encouraged to give input and participate in identifying community needs and strategies. The County will continue to foster relationships with private organizations, businesses, and developers to build partnerships that can initiate and support economic and community development. Additionally, the County will continue to maintain, foster, and find new public agencies and non-profit organizations to ensure that benefits from the CDBG program are delivered to the community.

Program Specific Requirements

AP-90 Program Specific Requirements – 91.220(I)(1,2,4)

Introduction:

The County does not expect to receive CDBG program income during the AAP 2021 program year. Program income generated from a leasehold home sale through the Home Purchase Program and all ground lease fees and loan repayments generated from County Housing programs will be receipted to the designated activities' revolving fund.

Community Development Block Grant Program (CDBG) Reference 24 CFR 91.220(I)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	0
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
Total Program Income:	0

Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	100.00%

Housing Trust Fund (HTF)
Reference 24 CFR 91.220(l)(5)

1. Distribution of Funds

a. Describe the eligibility requirements for recipients of HTF funds (as defined in 24 CFR § 93.2).

Rental Housing - County will focus HTF funds on providing affordable rental housing to 30% AMI households. HTF funds may be used for the production, preservation, and rehabilitation of affordable rental housing through the acquisition, new construction, reconstruction, or rehabilitation of non-luxury housing with suitable amenities.

All rehabilitation projects must meet applicable HTF rehabilitation standards and the requirements of 24 CFR 93.301(b). However, the County intends to expend HTF funds received in PY 2022 only for the new construction of rental housing.

Eligible HTF activities and expenses include: real property acquisition, site improvements and development hard costs, related soft costs, conversion, demolition, financing costs, relocation assistance, operating cost assistance and reasonable administrative and planning costs for HTF program administration.

b. Describe the jurisdiction's application requirements for eligible recipients to apply for HTF funds.

An organization, agency or other entity (including a public housing agency, or a for-profit entity, or a nonprofit entity) is eligible to apply for HTF assistance as an owner or developer to carry out an HTF-assisted project. An HTF Recipient must:

- Make assurances to the County that it will comply with the requirements of the HTF program during the entire period that begins upon the selection of the recipient to receive HTF funds, and ending upon the conclusion of all HTF-funded activities;
- Demonstrate the ability and financial capacity to undertake, comply and manage the eligible activity;
- Demonstrate its familiarity with the requirements of other Federal, State, or local housing programs that may be used in conjunction with HTF funds to ensure compliance with all applicable requirements and regulations of such programs; and
- Have demonstrated experience and capacity to conduct an eligible HTF activity as evidenced by its ability to own, construct, or rehabilitate, and manage an affordable multifamily rental housing development.

c. Describe the selection criteria that the jurisdiction will use to select applications submitted by eligible recipients.

The following selection criteria shall be used in the evaluation of HTF Applications:

- Timeliness and Readiness to Proceed (Max. 30 pts.) – The proposed project is feasible and will meet the required timelines to commit and expend HTF funds. Factors to be considered for timeliness and readiness are site control, financial commitments, environmental factors, zoning, utilities and site and neighborhood standards;
- Consolidated Plan Priorities (Max. 10 pts.) – The extent to which the project proposes accomplishments that will meet the rental housing objectives for the County. Preference to projects will be based on several factors, such as accessibility to employment opportunities, public transportation, schools, etc. Geographical distribution will be targeted to the three primary areas of Kauai’s transit and employment, which are, Kawaihau district, Lihue district and the Koloa district. Other factors are considered, are housing that includes green building and sustainable building features or housing that serves special needs populations;
- Development Experience and Financial Capacity (Max 25 pts.) – Applicant’s ability to obligate HTF dollars and undertake funded activities in a timely manner, past performance using federal funds, evidence of experience in developing and managing projects of similar type of scope, staff qualifications, fiscal soundness, and qualifications of the proposed project team, including proven record of experience with comparable projects;
- Financially Feasible Project (Max. 25 pts.) – Project pro forma to cover a minimum 30-year HTF affordability period and include rents that are affordable to extremely low-income households. Priority to be given for projects with extended affordability periods and project-based rental assistance; and
- Use of Non-Federal Funding Sources (Max. 10 pts.) – For extremely low-income targeting of 30% AMI, other funding sources compatible with HTF are needed. Priority consideration will be given to the extent HTF leverages non-federal funding sources.
- A minimum score of 50 points (out of the 100 total points) must be scored in order to be recommended for the award of HTF funds. Successful Recipient(s) will receive a Notice of Award, which will state that the County’s intent to award HTF funds is subject to approval by the approving authorities of the County, HHFDC, and HUD.
- Maximum Per-Unit Development Subsidy Limits – Each year, HHFDC must establish maximum limitations on the total amount of HTF funds that may be invested per-unit for development of non-luxury rental housing projects. The HOME Program Maximum Per-Unit Subsidy Limits have been adopted for the HTF program. The development costs of affordable rental housing across the state are generally higher in comparison with the HOME subsidy limits. However, due to the limited funding, the HTF projects will require leveraging with other significant sources of funds. The HOME subsidy limit provides a reasonable maximum to develop a greater number of HTF assisted units throughout the state.

d. Describe the jurisdiction’s required priority for funding based on geographic distribution, which is a description of the geographic areas of the State (including areas of low-income and minority concentration) in which it will direct assistance during the ensuing program year.

Geographical distribution will be given preference to the three primary areas of Kauai's transit and employment, which are: Kawaihau district, Lihue district and the Koloa district. Other factors considered are housing that includes green building and sustainable building features or housing that serves special needs populations.

e. Describe the jurisdiction's required priority for funding based on the applicant's ability to obligate HTF funds and undertake eligible activities in a timely manner.

An organization, agency or other entity (including a public housing agency, or a for-profit entity, or a nonprofit entity) is eligible to apply for HTF assistance as an owner or developer to carry out an HTF-assisted project. An HTF Recipient must:

- Make assurances to the County that it will comply with the requirements of the HTF program during the entire period that begins upon the selection of the recipient to receive HTF funds, and ending upon the conclusion of all HTF-funded activities;
- Demonstrate the ability and financial capacity to undertake, comply and manage the eligible activity;
- Demonstrate its familiarity with the requirements of other Federal, State, or local housing programs that may be used in conjunction with HTF funds to ensure compliance with all applicable requirements and regulations of such programs; and
- Have demonstrated experience and capacity to conduct an eligible HTF activity as evidenced by its ability to own, construct, or rehabilitate, and manage an affordable multifamily rental housing development.

f. Describe the jurisdiction's required priority for funding based on the extent to which rents for units in the rental project are affordable to extremely low-income families.

Rental Housing – County will focus HTF funds on providing affordable rental housing to 30% AMI households. HTF funds may be used for the production, preservation, and rehabilitation of affordable rental housing through acquisition, new construction, reconstruction, or rehabilitation of non-luxury housing with suitable amenities.

g. Describe the jurisdiction's required priority for funding based on the financial feasibility of the project beyond the required 30-year period.

Financially Feasible Project – Project pro forma to cover a minimum 30-year HTF affordability period and include rents that are affordable to extremely low-income households. Priority to be given for projects with extended affordability periods and project-based rental assistance.

h. Describe the jurisdiction's required priority for funding based on the merits of the application in meeting the priority housing needs of the jurisdiction (such as housing that is accessible to transit or

employment centers, housing that includes green building and sustainable development features, or housing that serves special needs populations).

Consolidated Plan Priorities – The extent to which the project proposes accomplishments that will meet the rental housing objectives for the County. Preference to projects will be based on several factors, such as accessibility to employment opportunities, public transportation, schools, etc. Geographical distribution will be targeted to the three primary areas of Kauai’s transit and employment, which are: Kawaihau district, Lihue district and the Koloa district. Other factors considered are housing that includes green building and sustainable building features or housing that serves special needs populations.

i. Describe the jurisdiction’s required priority for funding based on the location of existing affordable housing.

The National Housing Trust Fund priority for funding based on the location of existing affordable housing is to focus on the preservation of rental housing for extremely low-income (30% AMI) families in Kauai County.

j. Describe the jurisdiction’s required priority for funding based on the extent to which the application makes use of non-federal funding sources.

Use of Non-Federal Funding Sources – For extremely low-income targeting of 30% AMI, other funding sources compatible with HTF are needed. Priority consideration will be given to the extent HTF leverages non-federal funding sources.

2. Does the jurisdiction’s application require the applicant to include a description of the eligible activities to be conducted with HTF funds?

Yes

3. Does the jurisdiction’s application require that each eligible recipient certify that housing units assisted with HTF funds will comply with HTF requirements?

Yes

4. **Performance Goals and Benchmarks.** The jurisdiction has met the requirement to provide for performance goals, consistent with the jurisdiction’s goals established under 24 CFR 91.215(b)(2), by including HTF in its housing goals in the housing table on the SP-45 Goals and AP-20 Annual Goals and Objectives screens.

Yes

5. **Rehabilitation Standards.** The jurisdiction must establish rehabilitation standards for all HTF-assisted housing rehabilitation activities that set forth the requirements that the housing must meet upon project

completion. The jurisdiction's description of its standards must be in sufficient detail to determine the required rehabilitation work including methods and materials. The standards may refer to applicable codes or they may establish requirements that exceed the minimum requirements of the codes. The jurisdiction must attach its rehabilitation standards below. If the jurisdiction will not use HTF funds for the rehabilitation of housing, enter "N/A".

In addition, the rehabilitation standards must address each of the following: health and safety; major systems; lead-based paint; accessibility; disaster mitigation (where relevant); state and local codes, ordinances, and zoning requirements; Uniform Physical Condition Standards; and Capital Needs Assessments (if applicable).

N/A. During this program year, HTF funds will not be used for Rehabilitation.

6. Resale or Recapture Guidelines. Below, the jurisdiction must enter (or attach) a description of the guidelines that will be used for resale or recapture of HTF funds when used to assist first-time homebuyers. If the jurisdiction will not use HTF funds to assist first-time homebuyers, enter "N/A".

N/A

7. HTF Affordable Homeownership Limits. If the jurisdiction intends to use HTF funds for homebuyer assistance and does not use the HTF affordable homeownership limits for the area provided by HUD, it must determine 95 percent of the median area purchase price and set forth the information in accordance with §93.305. If the jurisdiction will not use HTF funds to assist first-time homebuyers, enter "N/A".

N/A

8. Limited Beneficiaries or Preferences. Describe how the jurisdiction will limit the beneficiaries or give preferences to a particular segment of the extremely low- or very low-income population to serve unmet needs identified in its consolidated plan or annual action plan. If the jurisdiction will not limit the beneficiaries or give preferences to a particular segment of the extremely low- or very low-income population, enter "N/A."

Any limitation or preference must not violate nondiscrimination requirements in § 93.350, and the jurisdiction must not limit or give preferences to students. The jurisdiction may permit rental housing owners to limit tenants or give a preference in accordance with § 93.303 only if such limitation or preference is described in the action plan.

N/A

9. Refinancing of Existing Debt. Enter or attach the jurisdiction's refinancing guidelines below. The guidelines describe the conditions under which the jurisdiction will refinance existing rental housing project debt. The jurisdiction's refinancing guidelines must, at minimum, demonstrate that rehabilitation is the primary eligible activity and ensure that this requirement is met by establishing a minimum level of rehabilitation per unit or a required ratio between rehabilitation and refinancing. If the jurisdiction will not refinance existing debt, enter "N/A." N/A

Attachments

Grantee Unique Appendices

AFFIDAVIT OF PUBLICATION

IN THE MATTER OF
CDBG PUBLIC NOTICE

STATE OF HAWAII

SS.

City and County of Honolulu

Doc. Date: OCT 30 2020 # Pages: 1
 Notary Name: COLLEEN E. SORANAKA First Judicial Circuit
 Doc. Description: Affidavit of Publication
 Notary Signature: [Signature] OCT 30 2020
 Notary Public
 No. 00-263
 STATE OF HAWAII

Lisa Sakakida being duly sworn, deposes and says that she is a clerk and hereby certifies to execute this affidavit of Otao Publications, Inc. publisher of the Honolulu Star-Advertiser, MidWeek, The Garden Island, West Hawaii Today, and Hawaii Tribune-Herald, that said newspapers are newspapers of general circulation in the State of Hawaii, and that the attached notice is true notice as was published in the

Honolulu Star-Advertiser	<u>0</u>	times on:
MidWeek	<u>0</u>	times on:
The Garden Island	<u>1</u>	times on:
10/30/2020		
Hawaii Tribune-Herald	<u>0</u>	times on:
West Hawaii Today	<u>0</u>	times on:
Other Publications:	<u>0</u>	times on:

And that affiant is not a party to or in any way interested in the above entitled matter:

[Signature]
 Lisa Sakakida

Subscribed in and sworn before me this 30 day of October A.D. 2020

Colleen E. Soranaka, Notary Public of the First Judicial Circuit, State of Hawaii
 My commission expires: Jan 06 2024

Adv # 05011305312



County of Maui
 Legal Public Notice
 CDBG Application and Annual Action Plan 2021
 Community Development
 To All Applicants, Grantees, Parties & Persons:
 Pursuant to 20 CFR Part 801, notice is hereby given that the Maui County Board of Commissioners will be holding a public hearing on the 2021 program year of the Community Development Plan 2020-2022 (CP and ANP) for the 2021 (ANP, Community Development Board Grant (CDBG) Program. The hearing will be held on November 18, 2020 at 2:00 PM at the Maui County Board of Commissioners, 100 West Main Street, Maui, Hawaii 96703. The hearing will be held to discuss the use of federal grant funds for community development, economic development, and public infrastructure projects. Under the Coronavirus Aid, Relief, and Economic Security (CARES) Act, federal aid is available for HUD's Community Development and Certain Housing Assistance Activities. All interested parties are invited to attend the hearing and submit comments.

Interested persons are invited to attend the public hearing and provide comments. Written comments may be mailed to 4444 Keolu Street, Suite 300, Litch, HI 96769 or emailed to maui@cdbg.org. All comments received by December 18, 2020 will be considered in preparing the ANP 2021.

Classified reporting services will be provided to the applicant and recipient. If you need an and law advice on this application due to a situation, contact Otao at 241-2464 or otao@otao.com. It is noted that, pursuant to 101-101, any person who provides false information, or who fails to obtain the necessary approvals or accommodations, but so that the person that the request will be denied. Upon request, this notice is available in alternate formats such as large print, Braille, or electronic copy.

By Order of the Mayor
 County of Maui
 Ureka S. Hama
 Mayor


10/30/20 10/30/20

AFFIDAVIT OF PUBLICATION

IN THE MATTER OF
 Kauai County Housing Agency - Legal Public Notice for Public Comment

STATE OF HAWAII)
) SS.
 City and County of Honolulu)

Doc. Date: MAR 06 2021 **# Pages:** 1
Notary Name: COLLEEN E. SORANAKA **First Judicial Circuit**
Doc. Description: Affidavit of Publication
 [Signature] MAR 06 2021
 Notary Signature Date



I, Lisa Sakakida being duly sworn, deposes and says that she is a clerk, duly employed to execute this affidavit of Public Publication, for the publisher of The Honolulu Star-Advertiser, MidWeek, The Garden Island, West Hawaii Today, and Hawaii Tribune-Herald, that said newspapers are newspapers of general circulation in the State of Hawaii, and that the attached notice is true notice as was published in the

Honolulu Star-Advertiser 0 times on;
 MidWeek 0 times on;
 The Garden Island 1 times on;
 03/05/2021
 Hawaii Tribune-Herald 0 times on;
 West Hawaii Today 0 times on;
 Other Publications: 0 times on;

And that affiant is not a party to or in any way interested in the above entitled matter.

[Signature] Lisa Sakakida
 Subscribed to and sworn before me this 5th day of March A.D. 2021

[Signature] Colleen E. Soranaka, Notary Public of the First Judicial Circuit, State of Hawaii
 My commission expires Jan 30, 2024

Ada 0001317374



HAWAII COUNTY HOUSING AGENCY
LEGAL PUBLIC NOTICE
DRAFT ANNUAL ACTION PLAN 2021

Notice is hereby given that the Kauai County Housing Agency (KCHA) will hold a video teleconference public hearing on the Comprehensive Plan 2020-2025, annual program plan, draft Annual Action Plan (AAP) 2021 and proposed projects that have been recommended for additional funding assistance from the U.S. Department of Housing and Urban Development (HUD) through the Federal Community Development Block Grant (CDBG). Pursuant to 21 CFR 91, the public hearing is to afford citizens the opportunity to provide written or oral comments to the draft AAP 2021 and proposed projects. The hearing will be held on:

Monday, March 22, 2021 at 2:00 p.m.

Registration and comments by anyone interested in attending the proposed video teleconference must register with the Housing Agency at least one (1) hour prior to the hearing by emailing or calling housing@hawaii.gov or by calling (808) 241-4444, and providing your name, telephone number, and email address. A link to the video teleconference will be emailed to you following your registration.

PROPOSED CDBG PROJECTS:

PUBLIC FACILITIES AND IMPROVEMENTS	AMOUNT
1. Aloha Ho'ouka Family Center Expansion Renovation Project (Ph. 1) (CPS Real Property, Inc.) Provide a total of new ADA improvements to enhance and expand the facility that supports family programs, services and community activities that directly benefit low- to moderate-income households, homeless and families.	\$ 170,700.00
2. Analysis of Fire Leak Phenomena Project (Kona Hi Kona) Purchase and install a chainable system to improve energy efficiency and decrease utility costs for the optimal heating package for low-to moderate income of low-to moderate.	\$ 322,164.00
3. Lookout Park Improvements (County of Maui, Department of Parks and Recreation) Provide ADA accessibility and expand recreational opportunities for youth and residents of a low- to moderate-income area neighborhood.	\$ 552,283.00
ADMINISTRATION	
4. Administration (Kauai County Housing Agency) General program administration, project implementation, compliance monitoring, evaluation, report-writing and reporting.	\$ 100,692.00
TOTAL ANTICIPATED CDBG FUNDING	\$1,001,839.00

KCHA anticipates receiving \$768,442.00 from HUD for the CDBG FY 2021 annual allocation and will utilize \$468,677.00 from suspended FY19 and FY20 grant funds under program income. Should there be an increase or decrease in the actual allocation amount, the lowest-ranked project will be adjusted accordingly.

ALTERNATE PROJECT:
 To ensure the performance of the County of Kauai's CDBG program in meeting HUD's regulatory of 24 CFR 870.802, should any of the projects listed above not proceed as requested in the CDBG application, the following alternate project may be funded as long as the project is ready to proceed and will comply with CDBG regulatory requirements:

4. Homeownership Education and Counseling Project (Hawaii Community Assets, Inc.) Conduct HUD-certified homeowner education workshops and counseling to first-time homebuyers in order to increase financial capacity to purchase homes and prevent foreclosures.	\$ 25,000.00
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The public is advised that the County of Kauai may waive the option to bear its overall costs for projects in the FY 2021 budget pursuant to 24 CFR 570.200(b) to assist with compliance of CDBG business regulations. Non-CDBG funds used for pre-award costs will be reimbursed upon receipt of CDBG FY 2021 funds from HUD. Should any CDBG funds become available for cancelled or complete projects, or by receipt of program income or matching funds, such funds may be reprogrammed to any open HUD's Plan project in accordance with the requirements of the County's Urban Participation Plan and prior approval from HUD.

The draft AAP 2021 is available for review at: <https://www.hawaii.gov/government/departments-administration/housing-agency/housing-agency-legal-public-notice-draft-annual-action-plan-2021>. Written comments may be submitted via email to kcha@hawaii.gov or via U.S. mail to: Kauai County Housing Agency, 4444 Rice Street, Suite 330, Lihala, Hawaii 96768. Attention: Karl Barros. The 30-day comment period will commence on March 6, 2021. All comments received by April 4, 2021 will be considered in preparing the final AAP 2021.

Disability captioning services will be provided for the video teleconferencing hearing. If you need an auxiliary aid/service or other reasonable accommodation due to a disability, call 241-4444 or email hawaii@hawaii.gov as soon as possible, preferably ten (10) days prior to the meeting date. If a response is received after that, we will try to provide the auxiliary aid/service or accommodation, but we cannot guarantee that the request will be fulfilled. Upon request this notice is available in alternate formats such as large print, Braille, or electronic copy.

By order of the Mayor
 County of Kauai
 Derek G. S. Szymanski
 Mayor

(10)1317374 3/5/21



Grantee SF-424's and Certification(s)

OMB Number 4040-0004
Expiration Date 12/31/2022

Application for Federal Assistance SF-424		
<p>* 1. Type of Submission:</p> <input type="checkbox"/> Pre-application <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application		
<p>* 2. Type of Application:</p> <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision		
<p>* If Revision, select appropriate letter(s):</p> <input type="text"/> <p>* Other (Specify):</p> <input type="text"/>		
<p>* 3. Date Received:</p> Completed by OMB (go user address):		<p>4. Applicant Identifier:</p> <input type="text"/>
<p>5a. Federal Entity Identifier:</p> <input type="text"/>		<p>5b. Federal Award Identifier:</p> <input type="text"/>
<p>State Use Only:</p>		
<p>6. Date Received by State: <input type="text"/></p>		<p>7. State Application Identifier: <input type="text"/></p>
<p>8. APPLICANT INFORMATION:</p>		
<p>* a. Legal Name: <input type="text" value="County of Kauai"/></p>		
<p>* b. Employer/Taxpayer Identification Number (EIN/TIN):</p> <input type="text" value="99-8000658"/>		<p>* c. Organizational DUNS:</p> <input type="text"/>
<p>d. Address:</p>		
<p>* Street 1: <input type="text" value="4444 Rice Street, Suite 330"/></p>		
<p>Street 2: <input type="text"/></p>		
<p>* City: <input type="text" value="Lihue"/></p>		
<p>County/Parish: <input type="text"/></p>		
<p>* State: <input type="text" value="Hawaii"/></p>		
<p>Province: <input type="text"/></p>		
<p>* Country: <input type="text" value="USA, UNITED STATES"/></p>		
<p>* Zip / Postal Code: <input type="text" value="96798"/></p>		
<p>e. Organizational Unit:</p>		
<p>Department Name: <input type="text" value="Kauai County Housing Agency"/></p>		<p>Division Name: <input type="text" value="Development Division"/></p>
<p>f. Name and contact information of person to be contacted on matters involving this application:</p>		
<p>Prefix: <input type="text"/></p>	<p>* First Name: <input type="text" value="Kerilyn"/></p>	
<p>Middle Name: <input type="text"/></p>		
<p>* Last Name: <input type="text" value="Harris"/></p>		
<p>Suffix: <input type="text"/></p>		
<p>Title: <input type="text" value="CDBG Coordinator"/></p>		
<p>Organizational Affiliation:</p> <input type="text"/>		
<p>* Telephone Number: <input type="text" value="808-241-4435"/></p>		<p>Fax Number: <input type="text"/></p>
<p>* Email: <input type="text" value="kharris@kauai.gov"/></p>		

Application for Federal Assistance SF-424	
* 9. Type of Applicant 1: Select Applicant Type: <input type="text" value="County Government"/>	
Type of Applicant 2: Select Applicant Type: <input type="text"/>	
Type of Applicant 3: Select Applicant Type: <input type="text"/>	
* Other (specify): <input type="text"/>	
* 10. Name of Federal Agency: <input type="text" value="U.S. Department of Housing and Urban Development"/>	
11. Catalog of Federal Domestic Assistance Number: <input type="text" value="14 228"/>	
CFDA Title: <input type="text" value="Community Development Block Grant"/>	
* 12. Funding Opportunity Number: <input type="text" value="B-21-DH-15-0001"/>	
* Title: <input type="text" value="Community Development Block Grant HUD Administered Non-entitlement Counties in Hawaii Program"/>	
13. Competition Identification Number: <input type="text"/>	
Title: <input type="text"/>	
14. Areas Affected by Project (Cities, Counties, States, etc.): <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
* 15. Descriptive Title of Applicant's Project: <input type="text" value="Hale Iki'omalu Family Center Expansion Renovations Project; Kealahou at Puu Lake Photovoltaic Project; Laukana Park Improvements; Home Buyer Loan Program; Home Purchase Program; (ALT) Homeownership Education & Counseling Project"/>	
Attach supporting documents as specified in agency instructions <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/>	

Application for Federal Assistance SF-424

16. Congressional Districts Of:
 * a. Applicant: * b. Program/Project:

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:
 * a. Start Date: * b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="\$702,317.00"/>	\$712,663.00	AR
* b. Applicant	<input type="text"/>		
* c. State	<input type="text"/>		
* d. Local	<input type="text"/>		
* e. Other	<input type="text" value="\$498,477.00"/>		
* f. Program Income	<input type="text" value="\$500,000.00"/>		
* g. TOTAL	<input type="text" value="\$1,700,794.00"/>	\$1,711,140.00	AR


*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**
 a. This application was made available to the State under the Executive Order 12372 Process for review on
 b. Program is subject to E.O. 12372 but has not been selected by the State for review.
 c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**
 Yes No
 If "Yes", provide explanation and attach

21. "By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)
 ** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:
 Prefix: * First Name:
 Middle Name:
 * Last Name:
 Suffix:

* Title:
 * Telephone Number: Fax Number:
 * Email:
 * Signature of Authorized Representative:  * Date Signed:

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.


PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance, and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4726-4763) relating to prescribed standards of merit systems for programs funded under one of the 15 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681, 1683, and 1685-1696) which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616) as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-5 and 290 ee-3) as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VI of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 16 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of floating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523) and; (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966 as amended (16 U.S.C. §470); EO 11563 (identification and protection of historic properties) and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from: (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect; (2) Procuring a commercial sex act during the period of time that the award is in effect or; (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE Mayor, County of Kauai
APPLICANT ORGANIZATION Kauai County Housing Agency	DATE SUBMITTED 4/20/2021

SF-4240 (Rev. 7-97) Back

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the County certifies that:

Affirmatively Furthering Fair Housing -- It will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-Displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential anti displacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

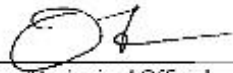
- A. **Drug-Free Workplace** -- It will or will continue to provide a drug-free workplace by:
1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
 2. Establishing an ongoing drug free awareness program to inform employees about -
 - (a) The dangers of drug abuse in the workplace;
 - (b) The grantee's policy of maintaining a drug-free workplace;
 - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.
 3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
 4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
 - (a) Abide by the terms of the statement; and
 - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted:
 - (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5, and 6.

B. Anti-Lobbying -- To the best of the County's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-L.L.J., "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraphs 1 and 2 of this certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all sub-recipients shall certify and disclose accordingly.

- C. **Authority of County** -- The submission of the consolidated plan is authorized under County ordinance and the County possesses the legal authority to carry out the programs under the consolidated plan for which it is seeking funding, in accordance with applicable HUD regulations.
- D. **Consistency with plan** -- The housing activities to be undertaken with CDBG and HOME funds are consistent with the strategic plan.
- E. **Section 3** -- It will comply with Section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.



Signature/Authorized Official

4/20/2021
Date

Mayor, County of Kauai
Title

Specific CDBG Certifications

The County certifies that:

Citizen Participation – It is in full compliance and following a detailed citizen participation plan that satisfied the requirements of 24 CFR 91.105.

Community Development Plan – Its consolidated housing and community development plan identifies community development and housing needs and specifies both short term and long term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income (24 CFR 570.2 and 24 CFR part 570).

Following a Plan – It is following a current consolidated plan that has been approved by HUD.

Use of Funds – It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give the maximum feasible priority to activities that will benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available;
2. **Overall Benefit.** The aggregate use of CDBG funds, including section 108 guaranteed loans, during the program year of 2021, shall principally benefit low-and moderate-income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. **Special Assessment.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low- and moderate-income, including any fee charged or assessment made as a condition of obtaining access to such public improvements. However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- The County has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from, a facility or location that is the subject of such non-violent civil rights demonstrations within its jurisdiction.

Compliance with Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 20001d), the Fair Housing Act (42 U.S.C. 3601-3619), and implementing regulations.

Lead-based Paint -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, K, and R;

Compliance with Laws -- It will comply with applicable laws.



Signature/Authorized Official

4/20/2021
Date

Mayor, County of Kauai
Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:

A. Lobby Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

B. Drug Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal government, may take action authorized under the Drug Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the changes(s), if it previously identified the workplaces in question (see paragraph three).
6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

Hale Ho'omalulu Family Center Expansion Renovations Project (ph. 1), 4 1112 Kūniū Hwy.,
Kapa'a, HI 96746

Kealahou at Pua Luke Photovoltaic Project, 4386 Pua Loke Street, Lihue, HI 96766

Laukōna Park Improvements, Corner Paka Drive/Laukōna Street, Lihue, HI 96766

(ALT) Hawaiian Community Assets, Inc., 4523 Iouffe Road, Anahola, HI 96703

Check if there are workplaces on file that are not identified here.

The certification with regard to the drug-free workplace required by 24 CFR part 24, subpart F,

7. Definitions of terms in the Non-procurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

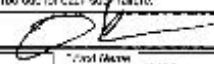
"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces)

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

OMB Number: 4040-0013
Expiration Date: 09/30/2022

1. * Type of Federal Action: <input type="checkbox"/> a. contract <input checked="" type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	2. * Status of Federal Action: <input type="checkbox"/> a. new/renegotiation <input checked="" type="checkbox"/> b. initial award <input type="checkbox"/> c. extension	3. * Report Type: <input checked="" type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change
4. Name and Address of Reporting Entity: <input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subawardee * Name: <input type="text" value="Federal Housing Financing Agency"/> * Street 1: <input type="text" value="114 South Broadway, Suite 100"/> Street 2: <input type="text"/> * City: <input type="text" value="Baltimore"/> State: <input type="text" value="MD"/> Zip: <input type="text" value="21202"/> Congressional District/Number: <input type="text" value="District 11"/>		
5. If Reporting Entity in No.4 is Subawardee, Enter Name and Address of Prime: 		
6. * Federal Department/Agency: <input type="text" value="U.S. Department of Housing and Urban Dev."/>		7. * Federal Program Name/Description: <input type="text"/> <small>CI/DA Number, if applicable</small> <input type="text"/>
8. Federal Action Number, if known: <input type="text"/>		9. Award Amount, if known: \$ <input type="text"/>
10. a. Name and Address of Lobbying Registrant: * First Name: <input type="text"/> * Last Name: <input type="text"/> * Middle Name: <input type="text"/> * Street 1: <input type="text" value="114 South Broadway, Suite 100"/> Street 2: <input type="text"/> * City: <input type="text" value="Baltimore"/> State: <input type="text" value="MD"/> Zip: <input type="text" value="21202"/>		
b. Individual Performing Services (including address if different from No. 10a) * First Name: <input type="text"/> * Last Name: <input type="text"/> * Middle Name: <input type="text"/> * Street 1: <input type="text"/> Street 2: <input type="text"/> * City: <input type="text"/> State: <input type="text"/> Zip: <input type="text"/>		
11. Information requested through this form is authorized by 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which Congress will be advised by the law above when the transaction was made or renewed info. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to the Congress semi-annually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.		
* Signature:  * Name: First Name: <input type="text"/> Last Name: <input type="text"/> Middle Name: <input type="text"/> * Title: <input type="text"/> Telephone No.: <input type="text"/> Date: <input type="text" value="4/20/2021"/>		
Reference: See 31 U.S.C. 1352 Additional Form: 4040-0013 (09/2021)		