

NOTICE OF SOLE SOURCE
COUNTY OF KAUAI

The Director of Finance has received a Request For Sole Source in accordance with Hawai'i Administrative Rule 3-122-82 and Hawai'i Revised Statutes 103D-306. A preliminary review has been conducted and approved for posting of this Notice.

Accordingly, by posting of this Notice, constructive notice is provided to permit interested parties an opportunity to review a copy of the Request For Sole Source, attached and posted herewith, and to submit written objections within seven (7) days from the date this Notice was posted. Objections shall be submitted by email to:

COKPurchasing@kauai.gov.

Questions may be directed to the Division of Purchasing at phone: (808) 241-4288:

A summary of the Sole Source Request follows:

Proposed Vendor's Name and Address:

Optimal Controls, LLC
94-1388 Maoaniani St. #241
Waipahu, HI 96797

Brief description of proposed Sole Source Item:

Replace Hellbender Pump and Motor (See Attached)

BY: Cienna Barretto
Division of Purchasing

Date Notice Posted: September 1, 2026

SPACE BELOW IS RESERVED FOR RESPONSE TO REQUESTING AGENCY

TO: Public Works / Wastewater
(Dept or Agency) (Div)

☒ Sole Source # _____ is assigned. This Notice was posted for seven (7) days. No objections were received. Please proceed with (1) processing of a requisition for a purchase order, or (2) contract preparation, and reference the assigned Sole Source number in the respective document.

☐ Objection(s) were received. Please contact the Division of Purchasing.

Division of Purchasing

Date

REQUEST FOR SOLE SOURCE
County of Kaua'i

TO: DIRECTOR OF FINANCE

FROM: Public Works /Wastewater
(Dept or Agency) (Div)

08/18/2026
Date

RE: REQUEST FOR SOLE SOURCE APPROVAL

Instructions: Cost and/or pricing data requirements for contracts expected to exceed \$100,000 are applicable pursuant to HAR 3-122-123 & 124.

- I. Pursuant to 103D-306, HRS, and Subchapter 9, Chapter 3-122, HAR, the Department requests sole source approval to purchase the following: **(Description of goods, services, or construction)**

Replace Hellbender pump and motor at Lihue WWTP.

- II. Name and Address of Vendor:

Optimal Controls LLC
94-1388 Moaniani St. #241
Waipahu, HI 96797

- III. Estimated Cost: \$36,315.17

- IV. Term of Contract or delivery time:

2 to 4 weeks

- V. The goods, services, or construction has the following unique features, characteristics, or capabilities:

The Hellbender pump and motor system is specifically designed for demanding wastewater applications. Its heavy-duty construction and specialized pumping capabilities allow it to handle wastewater containing solids, debris, and other challenging materials while providing reliable and continuous operation. The system is well suited for wastewater treatment and pumping operations where durability, high flow capacity, clog resistance, and dependable performance are required.

- VI. Describe how the unique features, characteristics, or capabilities are essential for the agency to accomplish its work:

The unique features and characteristics of the Hellbender pump and motor are essential to the

agency's wastewater operations because they provide the reliable pumping capacity and durability needed to move wastewater containing solids, debris, and other materials that can damage or clog conventional pumps. Its heavy-duty design and ability to operate under demanding conditions support the continuous movement of wastewater and help prevent system interruptions, backups, and potential environmental impacts. The equipment is therefore essential for maintaining wastewater treatment processes, protecting public health and the environment, and ensuring the agency can meet its operational and regulatory responsibilities.

- VII. The following other possible sources for the goods, services, or construction investigated but do not meet our needs because:

The Hellbender pump requires replacement due to its existing condition and declining reliability. The pump is an essential component of the wastewater system and must remain available to support continuous and reliable operations.

Replacing the pump in kind is recommended because the existing pump is properly sized and configured for the current system. An in-kind replacement will maintain the existing capacity, operating characteristics, connections, controls, and compatibility with the facility's piping and electrical systems. This approach will also minimize installation time, modifications, and potential compatibility issues associated with changing to a different pump model or configuration.

Replacing the Hellbender pump before a complete failure will reduce the risk of an unplanned outage, emergency repairs, and potential impacts to wastewater operations. The in-kind replacement provides the most practical and cost-effective solution while maintaining the system's existing design and operational requirements. There are no other vendors that sell Xylem products or accessories in the State of Hawaii.

- VIII. Person(s) recommended to negotiate the sole source contract:

- A. Name, Title, and relevant experience or qualifications:
Troy Shigematsu
Wastewater Plant Supervisor
10 plus years experience with WWTP operations
B. Name, Title, and relevant experience or qualifications:

- IX. Special Provisions:
N/A

- X. This expenditure may be processed through a purchase order: Yes ☒ No ☐.

- XI. The vendor was/will be notified prior to soliciting or negotiating a price, that the applicable General Terms and Conditions, or General Provisions for Construction Contracts, is applicable to this purchase: Yes ☒ No ☐.

Direct questions to: Troy Shigematsu Ph: 241-4894

I CERTIFY THAT THE INFORMATION PROVIDED HEREIN IS TO THE BEST OF MY KNOWLEDGE, TRUE, CORRECT AND THAT THE GOODS, SERVICES, OR CONSTRUCTION ARE AVAILABLE THROUGH ONLY ONE SOURCE.



(Department/Agency Head Signature)

Date

SPACE BELOW RESERVED FOR FINANCE DIRECTOR OR DESIGNEE:

APPROVED ☒ DENIED ☐

If approved, the following ☒ conditions apply:

- ☒ The furnishing and delivery of the items and/or services specified herein shall comply with this Request for Sole Source in its entirety and with the General Terms and Conditions for Goods and Services, dated July 2016, which by reference is incorporated herein as though it were physically an integral part of this notice.
- ☐ The furnishing and delivery of the items and/or services specified herein shall comply with this Request for Sole Source in its entirety and with the General Provisions for Construction Contracts (GPCC) dated September 2015, which by reference is incorporated herein as though it were physically an integral part of this notice.
- ☐ Insurance: Contractor agrees to maintain, on a primary basis and at its sole expense, at all times during the life of the Contract, the insurance coverages, limits, including endorsements, described in the Insurance Requirements attached hereto.
- ☒ For contracts of \$100,000 or more, HAR 3-122-121 et. seq., cost and pricing data requirements apply.
- ☒ The contract period shall not exceed one (1) year, unless approval is granted for a multi-term contract pursuant to HAR 3-122-149.
- ☒ Seven (7) day posting by **Purchasing Division** required.
- ☒ PRIOR APPROVAL for amendment(s) changing scope of work or increase price by 10% or more required. (Amendments are also subject to 7 day posting by **Purchasing Division**)
- ☒ A copy of the approved request must accompany the contract document submitted to the Purchasing Division for processing.
- ☒ Tax compliance is required as a pre-requisite to award and for final payment when contract amount is \$2,500 or more. (HRS 103D-328, HRS 103D-310, HAR 3-122-112)
- ☒ The contractor shall be informed of the requirements of **HRS 11-355 relating to the prohibition of campaign contributions by State and County Contractors during the term of the contract (Contact State Campaign Spending Commission: 808 586-0285; www.hawaii.gov/campaign).**

Ernest Barreira

Ernest Barreira (Sep 1, 2026 09:51:37 HST)

DIRECTOR OF FINANCE or Designee

Date

DIRECTOR OF FINANCE'S COMMENTS: