

RECEIVED

## Contributions and Expenditures Statement

For January 1 through December 31, 2019

For Allan S. Rietow

'20 APR 20 P3:54

OFFICE OF  
THE COUNTY CLERK  
COUNTY OF KANAS

This statement contains the following information:

(1) The name and address of any person receiving lobbying expenditures in the total sum of twenty-five dollars (\$25) or more per day from the person filing the statement, the amount and date of expenditure, and a general description of all such expenditures - **EXPENDITURES = \$0**

(2) The name and address of any person receiving lobbying expenditures in the aggregate amount of one hundred fifty dollars (\$150) or more during the statement period from the person filing the statement, the amount and date of expenditures, and a general description of all such expenditures

- **EXPENDITURES = \$0**

(3) The name and address of each person who, during the statement period and for the purpose of lobbying, contributed twenty-five dollars (\$25) or more to the person filing the statement; and (4) The subject area of the legislative and administrative action and the title or titles of the bills, resolutions, or actions which was supported or opposed by the person filing the statement during the statement period

- **NO LOBBYING PERFORMED**

(5) A description of the permit, procurement, or contract management which was supported or opposed by the person filing the statement during the statement period - **NONE**

(6) The receipt or expenditure of any money for the purpose of influencing the election or defeat of any candidate for an elective office or for the passage or defeat of any proposed measure at any special or general election is excluded from the reporting requirement of this section. (Ord. No. 999, July 30, 2016).  
[NO MONEY WAS RECEIVED OR SPENT UNDER SUCH CONDITIONS]

By:



Date: 4/20/2020

Allan S. Rietow

Mia Shiraishi  
Chair

Susan Burriss  
Vice Chair

Mary Tudela  
Secretary



Members:  
Dean Toyofuku  
John Latkiewicz

**COUNTY OF KAUAI BOARD OF ETHICS**

c/o Office of Boards & Commissions

4444 Rice Street, Suite 150

Līhu'e, HI 96766

April 17, 2020

Allan S. Rietow  
The Nature Conservancy  
4371 Puaole Street, Suite C  
Līhu'e, Hawai'i 96766  
Email: arietow@tnc.org

Re: 2019 County of Kaua'i Lobbyist Registration

Mr. Rietow:

As a registered lobbyist, you must comply with the provisions of Kaua'i County Code, Chapter 3 Internal County Regulations, Article 6, Registration and Regulation of Lobbyists. The County Clerk reported that you have not filed with her office the required 2019 Lobbyist Contributions & Expenditures Statement (see the attached letter from the County Clerk, dated January 31, 2020). This Statement was due January 31, 2020. The relevant County Code Sec. 3-6.5, regarding the Statement, is attached for your reference. Failure to file the required Statement may result in serious consequences, such as revocation of your certificate of registration as a lobbyist, and/or an administrative fine up to \$1,000 (see Sec. 3-6.9 Penalties; Administrative Fines, also attached). Therefore, if you intend to practice as a lobbyist, you must promptly file the Statement. If you do not intend to practice as a lobbyist in the County of Kaua'i, then you must file a Notice of Termination pursuant to Sec. 3-6.3 (also attached). The Board of Ethics requests your prompt attention to resolve this apparent violation prior to the next scheduled meeting of the Board of Ethics.

Sincerely,

Mia Shiraishi  
Chair, Board of Ethics

Enclosures.

Cc: Jade K. Fountain-Tanigawa, County Clerk  
Todd Karl Jensen, Deputy County Attorney  
Ellen Ching, Boards & Commissions Administrator

*An Equal Opportunity Employer*

**Sec. 3-6.5 Contributions and Expenditures; Statement.**

(a) The following persons shall maintain and keep current a record of expenditures. If any expenditure is made during the reporting period, the following persons shall file a statement of expenditures with the Office of the County Clerk on or before January 31st of each year, all of which shall be considered a public record:

- (1) Each registered lobbyist pursuant to Sec. 3-6.3.
- (2) Each person who spends seven hundred fifty dollars (\$750) or more of the person's or any other person's money, including, but not limited to, amounts spent on print, electronic, broadcast or other media during the reporting period for the purpose of attempting to influence legislative or administrative action or a ballot issue by communicating or urging others to communicate with Kaua'i County public officials; provided that any amounts expended for travel costs, including incidental meals and lodging, shall not be included in the tallying of the \$750.
- (3) Each person who employs or contracts for the services of one (1) or more registered lobbyists pursuant to Sec. 3-6.3, whether independently or jointly with other persons. If the person is an industry, trade, or professional association, only the association is the employer of the lobbyist.

(b) The January 31st report shall cover the period from January 1st through December 31st of the previous year.

(c) The statement shall contain the following information:

- (1) The name and address of any person receiving lobbying expenditures in the total sum of twenty-five dollars (\$25) or more per day from the person filing the statement, the amount and date of expenditure, and a general description of all such expenditures;
- (2) The name and address of any person receiving lobbying expenditures in the aggregate amount of one hundred fifty dollars (\$150) or more during the statement period from the person filing the statement, the amount and date of expenditures, and a general description of all such expenditures;
- (3) The name and address of each person who, during the statement period and for the purpose of lobbying, contributed twenty-five dollars (\$25) or more to the person filing the statement; and
- (4) The subject area of the legislative and administrative action and the title or titles of the bills, resolutions, or actions which was supported or opposed by the person filing the statement during the statement period.
- (5) A description of the permit, procurement, or contract management which was supported or opposed by the person filing the statement during the statement period.
- (6) The receipt or expenditure of any money for the purpose of influencing the election or defeat of any candidate for an elective office or for the passage or defeat of any proposed measure at any special or general election is excluded from the reporting requirement of this section. (Ord. No. 999, July 30, 2016)



**Sec. 3-6.9 Penalties; Administrative Fines.**

- (a) Any person who:
  - (1) Willfully fails to file any statement or report required by this Article;
  - (2) Willfully files a statement or report containing false information or material omission of any fact; or
  - (3) Engages in activities prohibited by Section 3-6.7; shall be subject to an administrative fine imposed by the Board of Ethics that shall not exceed one thousand dollars (\$1,000) for each violation of this Article. All fines collected under this section shall be deposited into the general fund. The Board of Ethics may suspend or revoke the certificate of registration of a lobbyist who has been found to have violated any provision of this Article. The suspension or revocation shall not exceed two (2) years from the date of the imposition of said sanctions.
- (b) No fine, suspension or revocation shall be assessed unless:
  - (1) The Board of Ethics convenes a hearing in accordance with Section 3-6.8(b)(3) and Chapter 91, HRS; and
  - (2) A decision has been rendered by the Board of Ethics. (Ord. No. 999, July 30, 2016)

**Sec. 3-6.3 Registration of Lobbyists, Requirements.**

- (a) Every lobbyist shall disclose for whom they are lobbying at the time they engage in lobbying a Kaua'i County legislative or administrative officer and shall file a registration statement with the Office of the County Clerk as early as possible, but no later than five (5) days within engaging in lobbying.
- (b) The registration statement shall require the lobbyist or appropriate officer of a corporation, appropriate manager, or member if the lobbyist is a limited liability company, to provide and certify as true and correct the following information:
  - (1) The name, business mailing address, and business telephone number of the lobbyist.
  - (2) The name and principal place of business of each person by whom the lobbyist is retained or employed or on whose behalf the lobbyist appears or works and a written authorization to act as a lobbyist from each person by whom the lobbyist is employed or with whom the lobbyist contracts.
  - (3) The subject areas on which the lobbyist expects to lobby.
- (c) A lobbyist shall report any change in any of the information contained in the registration statement within ten (10) days after the change has occurred.
- (d) Prior to appearing before the County Council or administrative agency, a lobbyist shall orally disclose his or her status as a lobbyist and the person on whose behalf the lobbyist is appearing.
- (e) A lobbyist shall file a notice of termination within ten (10) days after the lobbyist ceases the activity which required the lobbyist's registration. The lobbyist and the employer of the lobbyist shall remain subject, however, to the requirements of this Section for the period during which the registration was effective. (Ord. No. 999, July 30, 2016)